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Date: _	1 June	2010	Ву:	
			Sandy Ginoza	

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Network Working Group Request for Comments: 2002 Category: Standards Track C. Perkins, Editor
IBM
October 1996

# IP Mobility Support

# Status of this Memo

This document specifies an Internet standards track protocol for the Internet community, and requests discussion and suggestions for improvements. Please refer to the current edition of the "Internet Official Protocol Standards" (STD 1) for the standardization state and status of this protocol. Distribution of this memo is unlimited.

## Abstract

This document specifies protocol enhancements that allow transparent routing of IP datagrams to mobile nodes in the Internet. Each mobile node is always identified by its home address, regardless of its current point of attachment to the Internet. While situated away from its home, a mobile node is also associated with a care-of address, which provides information about its current point of attachment to the Internet. The protocol provides for registering the care-of address with a home agent. The home agent sends datagrams destined for the mobile node through a tunnel to the care-of address. After arriving at the end of the tunnel, each datagram is then delivered to the mobile node.

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## 1. Introduction

IP version 4 assumes that a node's IP address uniquely identifies the node's point of attachment to the Internet. Therefore, a node must be located on the network indicated by its IP address in order to receive datagrams destined to it; otherwise, datagrams destined to the node would be undeliverable. For a node to change its point of attachment without losing its ability to communicate, currently one of the two following mechanisms must typically be employed:

- a) the node must change its IP address whenever it changes its point of attachment, or
- b) host-specific routes must be propagated throughout much of the Internet routing fabric.

Both of these alternatives are often unacceptable. The first makes it impossible for a node to maintain transport and higher-layer connections when the node changes location. The second has obvious and severe scaling problems, especially relevant considering the explosive growth in sales of notebook (mobile) computers.

A new, scalable, mechanism is required for accommodating node mobility within the Internet. This document defines such a mechanism, which enables nodes to change their point of attachment to the Internet without changing their IP address.

## 1.1. Protocol Requirements

A mobile node must be able to communicate with other nodes after changing its link-layer point of attachment to the Internet, yet without changing its IP address.

A mobile node must be able to communicate with other nodes that do not implement these mobility functions. No protocol enhancements are required in hosts or routers that are not acting as any of the new architectural entities introduced in Section 1.5.

All messages used to update another node as to the location of a mobile node must be authenticated in order to protect against remote redirection attacks.

## 1.2. Goals

The link by which a mobile node is directly attached to the Internet may often be a wireless link. This link may thus have a substantially lower bandwidth and higher error rate than traditional wired networks. Moreover, mobile nodes are likely to be battery powered, and minimizing power consumption is important. Therefore, the number of administrative messages sent over the link by which a mobile node is directly attached to the Internet should be minimized, and the size of these messages should be kept as small as is reasonably possible.

# 1.3. Assumptions

The protocols defined in this document place no additional constraints on the assignment of IP addresses. That is, a mobile node can be assigned an IP address by the organization that owns the machine.

This protocol assumes that mobile nodes will generally not change their point of attachment to the Internet more frequently than once per second.

This protocol assumes that IP unicast datagrams are routed based on the destination address in the datagram header (and not, for example, by source address).

# 1.4. Applicability

Mobile IP is intended to enable nodes to move from one IP subnet to another. It is just as suitable for mobility across homogeneous media as it is for mobility across heterogeneous media. That is, Mobile IP facilitates node movement from one Ethernet segment to another as well as it accommodates node movement from an Ethernet segment to a wireless LAN, as long as the mobile node's IP address remains the same after such a movement.

One can think of Mobile IP as solving the "macro" mobility management problem. It is less well suited for more "micro" mobility management

applications -- for example, handoff amongst wireless transceivers, each of which covers only a very small geographic area. As long as node movement does not occur between points of attachment on different IP subnets, link-layer mechanisms for mobility (i.e., link-layer handoff) may offer faster convergence and far less overhead than Mobile IP.

#### 1.5. New Architectural Entities

Mobile IP introduces the following new functional entities:

## Mobile Node

A host or router that changes its point of attachment from one network or subnetwork to another. A mobile node may change its location without changing its IP address; it may continue to communicate with other Internet nodes at any location using its (constant) IP address, assuming link-layer connectivity to a point of attachment is available.

## Home Agent

A router on a mobile node's home network which tunnels datagrams for delivery to the mobile node when it is away from home, and maintains current location information for the mobile node.

# Foreign Agent

A router on a mobile node's visited network which provides routing services to the mobile node while registered. The foreign agent detunnels and delivers datagrams to the mobile node that were tunneled by the mobile node's home agent. For datagrams sent by a mobile node, the foreign agent may serve as a default router for registered mobile nodes.

A mobile node is given a long-term IP address on a home network. This home address is administered in the same way as a "permanent" IP address is provided to a stationary host. When away from its home network, a "care-of address" is associated with the mobile node and reflects the mobile node's current point of attachment. The mobile node uses its home address as the source address of all IP datagrams that it sends, except where otherwise described in this document for datagrams sent for certain mobility management functions (e.g., as in Section 3.6.1.1).

# 1.6. Terminology

This document frequently uses the following terms:

## Agent Advertisement

An advertisement message constructed by attaching a special Extension to a router advertisement [4] message.

#### Care-of Address

The termination point of a tunnel toward a mobile node, for datagrams forwarded to the mobile node while it is away from home. The protocol can use two different types of care-of address: a "foreign agent care-of address" is an address of a foreign agent with which the mobile node is registered, and a "co-located care-of address" is an externally obtained local address which the mobile node has associated with one of its own network interfaces.

## Correspondent Node

A peer with which a mobile node is communicating. A correspondent node may be either mobile or stationary.

## Foreign Network

Any network other than the mobile node's Home Network.

#### Home Address

An IP address that is assigned for an extended period of time to a mobile node. It remains unchanged regardless of where the node is attached to the Internet.

# Home Network

A network, possibly virtual, having a network prefix matching that of a mobile node's home address. Note that standard IP routing mechanisms will deliver datagrams destined to a mobile node's Home Address to the mobile node's Home Network.

Link A facility or medium over which nodes can communicate at the link layer. A link underlies the network layer.

## Link-Layer Address

The address used to identify an endpoint of some communication over a physical link. Typically, the Link-Layer address is an interface's Media Access Control (MAC) address.

# Mobility Agent

Either a home agent or a foreign agent.

# Mobility Binding

The association of a home address with a care-of address, along with the remaining lifetime of that association.

# Mobility Security Association

A collection of security contexts, between a pair of nodes, which may be applied to Mobile IP protocol messages exchanged between them. Each context indicates an authentication algorithm and mode (Section 5.1), a secret (a shared key, or appropriate public/private key pair), and a style of replay protection in use (Section 5.6).

Node A host or a router.

Nonce A randomly chosen value, different from previous choices, inserted in a message to protect against replays.

## Security Parameter Index (SPI)

An index identifying a security context between a pair of nodes among the contexts available in the Mobility Security Association. SPI values 0 through 255 are reserved and MUST NOT be used in any Mobility Security Association.

Tunnel The path followed by a datagram while it is encapsulated. The model is that, while it is encapsulated, a datagram is routed to a knowledgeable decapsulating agent, which decapsulates the datagram and then correctly delivers it to its ultimate destination.

## Virtual Network

A network with no physical instantiation beyond a router (with a physical network interface on another network). The router (e.g., a home agent) generally advertises reachability to the virtual network using conventional routing protocols.

## Visited Network

A network other than a mobile node's Home Network, to which the mobile node is currently connected.

# Visitor List

The list of mobile nodes visiting a foreign agent.

# 1.7. Protocol Overview

The following support services are defined for Mobile IP:

# Agent Discovery

Home agents and foreign agents may advertise their availability on each link for which they provide service. A newly arrived mobile node can send a solicitation on the link to learn if any prospective agents are present.

# Registration

When the mobile node is away from home, it registers its care-of address with its home agent. Depending on its method of attachment, the mobile node will register either directly with its home agent, or through a foreign agent which forwards the registration to the home agent.

The following steps provide a rough outline of operation of the Mobile IP protocol:

- Mobility agents (i.e., foreign agents and home agents) advertise their presence via Agent Advertisement messages (Section 2). A mobile node may optionally solicit an Agent Advertisement message from any locally attached mobility agents through an Agent Solicitation message.
- A mobile node receives these Agent Advertisements and determines whether it is on its home network or a foreign network.
- When the mobile node detects that it is located on its home network, it operates without mobility services. If returning to its home network from being registered elsewhere, the mobile node deregisters with its home agent, through exchange of a Registration Request and Registration Reply message with it.
- When a mobile node detects that it has moved to a foreign network, it obtains a care-of address on the foreign network. The care-of address can either be determined from a foreign agent's advertisements (a foreign agent care-of address), or by some external assignment mechanism such as DHCP [6] (a co-located care-of address).
- The mobile node operating away from home then registers its new care-of address with its home agent through exchange of a Registration Request and Registration Reply message with it, possibly via a foreign agent (Section 3).

- Datagrams sent to the mobile node's home address are intercepted by its home agent, tunneled by the home agent to the mobile node's care-of address, received at the tunnel endpoint (either at a foreign agent or at the mobile node itself), and finally delivered to the mobile node (Section 4.2.3).
- In the reverse direction, datagrams sent by the mobile node are generally delivered to their destination using standard IP routing mechanisms, not necessarily passing through the home agent.

When away from home, Mobile IP uses protocol tunneling to hide a mobile node's home address from intervening routers between its home network and its current location. The tunnel terminates at the mobile node's care-of address. The care-of address must be an address to which datagrams can be delivered via conventional IP routing. At the care-of address, the original datagram is removed from the tunnel and delivered to the mobile node.

Mobile IP provides two alternative modes for the acquisition of a care-of address:

- A "foreign agent care-of address" is a care-of address provided by a foreign agent through its Agent Advertisement messages. In this case, the care-of address is an IP address of the foreign agent. In this mode, the foreign agent is the endpoint of the tunnel and, upon receiving tunneled datagrams, decapsulates them and delivers the inner datagram to the mobile node. This mode of acquisition is preferred because it allows many mobile nodes to share the same care-of address and therefore does not place unnecessary demands on the already limited IPv4 address space.
- A "co-located care-of address" is a care-of address acquired by the mobile node as a local IP address through some external means, which the mobile node then associates with one of its own network interfaces. The address may be dynamically acquired as a temporary address by the mobile node such as through DHCP [6], or may be owned by the mobile node as a long-term address for its use only while visiting some foreign network. Specific external methods of acquiring a local IP address for use as a co-located care-of address are beyond the scope of this document. When using a co-located care-of address, the mobile node serves as the endpoint of the tunnel and itself performs decapsulation of the datagrams tunneled to it.

The mode of using a co-located care-of address has the advantage that it allows a mobile node to function without a foreign agent, for example, in networks that have not yet deployed a foreign agent.

It does, however, place additional burden on the IPv4 address space because it requires a pool of addresses within the foreign network to be made available to visiting mobile nodes. It is difficult to efficiently maintain pools of addresses for each subnet that may permit mobile nodes to visit.

It is important to understand the distinction between the care-of address and the foreign agent functions. The care-of address is simply the endpoint of the tunnel. It might indeed be an address of a foreign agent (a foreign agent care-of address), but it might instead be an address temporarily acquired by the mobile node (a colocated care-of address). A foreign agent, on the other hand, is a mobility agent that provides services to mobile nodes. See Sections 3.7 and 4.2.2 for additional details.

A home agent MUST be able to attract and intercept datagrams that are destined to the home address of any of its registered mobile nodes. Using the proxy and gratuitous ARP mechanisms described in Section 4.6, this requirement can be satisfied if the home agent has a network interface on the link indicated by the mobile node's home address. Other placements of the home agent relative to the mobile node's home location MAY also be possible using other mechanisms for intercepting datagrams destined to the mobile node's home address. Such placements are beyond the scope of this document.

Similarly, a mobile node and a prospective or current foreign agent MUST be able to exchange datagrams without relying on standard IP routing mechanisms; that is, those mechanisms which make forwarding decisions based upon the network-prefix of the destination address in the IP header. This requirement can be satisfied if the foreign agent and the visiting mobile node have an interface on the same link. In this case, the mobile node and foreign agent simply bypass their normal IP routing mechanism when sending datagrams to each other, addressing the underlying link-layer packets to their respective link-layer addresses. Other placements of the foreign agent relative to the mobile node MAY also be possible using other mechanisms to exchange datagrams between these nodes, but such placements are beyond the scope of this document.

If a mobile node is using a co-located care-of address (as described in (b) above), the mobile node MUST be located on the link identified by the network prefix of this care-of address. Otherwise, datagrams destined to the care-of address would be undeliverable.

For example, the figure below illustrates the routing of datagrams to and from a mobile node away from home, once the mobile node has registered with its home agent. In the figure below, the mobile node is using a foreign agent care-of address:

+----+

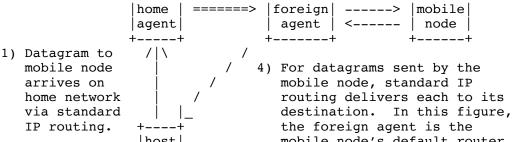
2) Datagram is intercepted 3) Datagram is by home agent and detunneled and is tunneled to the delivered to the care-of address.

+---+

host

delivered to the mobile node.

mobile node's default router.



# 1.8. Specification Language

IP routing.

In this document, several words are used to signify the requirements of the specification. These words are often capitalized.

This word, or the adjective "required", means that MUST the definition is an absolute requirement of the specification.

MUST NOT This phrase means that the definition is an absolute prohibition of the specification.

SHOULD This word, or the adjective "recommended", means that, in some circumstances, valid reasons may exist to ignore this item, but the full implications must be understood and carefully weighed before choosing a different course. Unexpected results may result otherwise.

This word, or the adjective "optional", means that this MAY item is one of an allowed set of alternatives. An implementation which does not include this option MUST be prepared to interoperate with another implementation which does include the option.

silently discard

The implementation discards the datagram without further processing, and without indicating an error to the sender. The implementation SHOULD provide the capability of logging the error, including the contents of the discarded datagram, and SHOULD record the event in a statistics counter.

## 1.9. Message Format and Protocol Extensibility

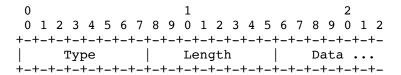
Mobile IP defines a set of new control messages, sent with UDP [17] using well-known port number 434. Currently, the following two message types are defined:

- 1 Registration Request
- 3 Registration Reply

Up-to-date values for the message types for Mobile IP control messages are specified in the most recent "Assigned Numbers" [20].

In addition, for Agent Discovery, Mobile IP makes use of the existing Router Advertisement and Router Solicitation messages defined for ICMP Router Discovery [4].

Mobile IP defines a general Extension mechanism to allow optional information to be carried by Mobile IP control messages or by ICMP Router Discovery messages. Each of these Extensions (with one exception) is encoded in the following Type-Length-Value format:



Indicates the particular type of Extension. Type

Indicates the length (in bytes) of the data field within Length this Extension. The length does NOT include the Type and Length bytes.

Data The particular data associated with this Extension. This field may be zero or more bytes in length. The format and length of the data field is determined by the type and length fields.

Extensions allow variable amounts of information to be carried within each datagram. The end of the list of Extensions is indicated by the total length of the IP datagram.

Two separately maintained sets of numbering spaces, from which Extension Type values are allocated, are used in Mobile IP:

- The first set consists of those Extensions which may appear only in Mobile IP control messages (those sent to and from UDP port number 434). Currently, the following Types are defined for Extensions appearing in Mobile IP control messages:
  - 32 Mobile-Home Authentication
  - 33 Mobile-Foreign Authentication
  - 34 Foreign-Home Authentication
- The second set consists of those extensions which may appear only in ICMP Router Discovery messages [4]. Currently, Mobile IP defines the following Types for Extensions appearing in ICMP Router Discovery messages:
  - One-byte Padding (encoded with no Length nor Data field)
  - 16 Mobility Agent Advertisement
  - 19 Prefix-Lengths

Each individual Extension is described in detail in a separate section later in this document. Up-to-date values for these Extension Type numbers are specified in the most recent "Assigned Numbers" [20].

Due to the separation (orthogonality) of these sets, it is conceivable that two Extensions that are defined at a later date could have identical Type values, so long as one of the Extensions may be used only in Mobile IP control messages and the other may be used only in ICMP Router Discovery messages.

When an Extension numbered in either of these sets within the range 0 through 127 is encountered but not recognized, the message containing that Extension MUST be silently discarded. When an Extension numbered in the range 128 through 255 is encountered which is not recognized, that particular Extension is ignored, but the rest of the Extensions and message data MUST still be processed. The Length field of the Extension is used to skip the Data field in searching for the next Extension.

# 2. Agent Discovery

Agent Discovery is the method by which a mobile node determines whether it is currently connected to its home network or to a foreign network, and by which a mobile node can detect when it has moved from one network to another. When connected to a foreign network, the methods specified in this section also allow the mobile node to determine the foreign agent care-of address being offered by each foreign agent on that network.

Mobile IP extends ICMP Router Discovery [4] as its primary mechanism for Agent Discovery. An Agent Advertisement is formed by including a Mobility Agent Advertisement Extension in an ICMP Router Advertisement message (Section 2.1). An Agent Solicitation message is identical to an ICMP Router Solicitation, except that its IP TTL MUST be set to 1 (Section 2.2). This section describes the message formats and procedures by which mobile nodes, foreign agents, and home agents cooperate to realize Agent Discovery.

Agent Advertisement and Agent Solicitation may not be necessary for link layers that already provide this functionality. The method by which mobile nodes establish link-layer connections with prospective agents is outside the scope of this document (but see Appendix B). The procedures described below assume that such link-layer connectivity has already been established.

No authentication is required for Agent Advertisement and Agent Solicitation messages. They MAY be authenticated using the IP Authentication Header [1], which is unrelated to the messages described in this document. Further specification of the way in which Advertisement and Solicitation messages may be authenticated is outside of the scope of this document.

# 2.1. Agent Advertisement

Agent Advertisements are transmitted by a mobility agent to advertise its services on a link. Mobile nodes use these advertisements to determine their current point of attachment to the Internet. An Agent Advertisement is an ICMP Router Advertisement that has been extended to also carry an Mobility Agent Advertisement Extension (Section 2.1.1) and, optionally, a Prefix-Lengths Extension (Section 2.1.2), One-byte Padding Extension (Section 2.1.3), or other Extensions that might be defined in the future.

Within an Agent Advertisement message, ICMP Router Advertisement fields of the message are required to conform to the following additional specifications:

# - Link-Layer Fields

## Destination Address

The link-layer destination address of a unicast Agent Advertisement MUST be the same as the source link-layer address of the Agent Solicitation which prompted the Advertisement.

## - IP Fields

TTL The TTL for all Agent Advertisements MUST be set to 1.

# Destination Address

As specified for ICMP Router Discovery [4], the IP destination address of an Agent Advertisement MUST be either the "all systems on this link" multicast address (224.0.0.1) [5] or the "limited broadcast" address (255.255.255.255). The subnet-directed broadcast address of the form prefix>.<-1> cannot be used since mobile nodes will not generally know the prefix of the foreign network.

## - ICMP Fields

Code The Code field of the agent advertisement is interpreted as follows:

- 0 The mobility agent handles common traffic -- that is, it acts as a router for IP datagrams not necessarily related to mobile nodes.
- 16 The mobility agent does not route common traffic. However, all foreign agents MUST (minimally) forward to a default router any datagrams received from a registered mobile node (Section 4.2.2).

# Lifetime

The maximum length of time that the Advertisement is considered valid in the absence of further Advertisements.

## Router Address(es)

See Section 2.3.1 for a discussion of the addresses that may appear in this portion of the Agent Advertisement.

Num Addrs

The number of Router Addresses advertised in this message. Note that in an Agent Advertisement message, the number of router addresses specified in the ICMP Router Advertisement portion of the message MAY be set to 0. See Section 2.3.1 for details.

If sent periodically, the nominal interval at which Agent Advertisements are sent SHOULD be 1/3 of the advertisement Lifetime given in the ICMP header. This allows a mobile node to miss three successive advertisements before deleting the agent from its list of valid agents. The actual transmission time for each advertisement SHOULD be slightly randomized [4] in order to avoid synchronization and subsequent collisions with other Agent Advertisements that may be sent by other agents (or with other Router Advertisements sent by other routers). Note that this field has no relation to the "Registration Lifetime" field within the Mobility Agent Advertisement Extension defined below.

## 2.1.1. Mobility Agent Advertisement Extension

The Mobility Agent Advertisement Extension follows the ICMP Router Advertisement fields. It is used to indicate that an ICMP Router Advertisement message is also an Agent Advertisement being sent by a mobility agent. The Mobility Agent Advertisement Extension is defined as follows:

	0									1										2										3		
	0 1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	
+	-+-	+	+-+	<b>-</b>	<b>-</b> -+	<b>+</b> _+	-+		+	<b>+</b> _+	<b>+</b> _+		+	+	<b>+</b>	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+-	+-	+
		7	Гур	ре						Le	eng	jtl	า							Se	equ	ıeı	nce	e 1	Nur	nbe	er					
+	+_+_+_+_+_+_+_+_+_+_+_+_+_+_+_+_+_+_+_																															
		Re	egi	Ĺst	cra	ati	Lor	ı I	Lii	Eet	:ir	ne				R	В	H	F	M	G	V			re	ese	erv	<i>v</i> ec	f			
+	· +_+_+_+_+_+_+_+_+_+_+_+_+_+_+_+_+_+_+																															
	zero or more Care-of Addresses																															
ĺ																																ĺ

16 Type

(6 + 4\*N), where N is the number of care-of addresses advertised.

# Sequence Number

The count of Agent Advertisement messages sent since the agent was initialized (Section 2.3.2).

# Registration Lifetime

The longest lifetime (measured in seconds) that this agent is willing to accept in any Registration Request. A value of Oxffff indicates infinity. This field has no relation to the "Lifetime" field within the ICMP Router Advertisement portion of the Agent Advertisement.

- R Registration required. Registration with this foreign agent (or another foreign agent on this link) is required rather than using a co-located care-of address.
- B Busy. The foreign agent will not accept registrations from additional mobile nodes.
- H Home agent. This agent offers service as a home agent on the link on which this Agent Advertisement message is sent.
- F Foreign agent. This agent offers service as a foreign agent on the link on which this Agent Advertisement message is sent.
- M Minimal encapsulation. This agent implements receiving tunneled datagrams that use minimal encapsulation [15].
- G GRE encapsulation. This agent implements receiving tunneled datagrams that use GRE encapsulation [8].
- V Van Jacobson header compression. This agent supports use of Van Jacobson header compression [10] over the link with any registered mobile node.

## reserved

Sent as zero; ignored on reception.

# Care-of Address(es)

The advertised foreign agent care-of address(es) provided by this foreign agent. An Agent Advertisement MUST include at least one care-of address if the 'F' bit is set. The number of care-of addresses present is determined by the Length field in the Extension.

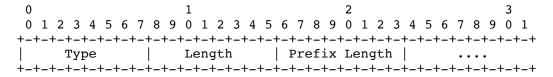
A home agent MUST always be prepared to serve the mobile nodes for which it is the home agent. A foreign agent may at times be too busy to serve additional mobile nodes; even so, it must continue to send Agent Advertisements, so that any mobile nodes already registered with it will know that they have not moved out of range of the foreign agent and that the foreign agent has not failed. A foreign

agent may indicate that it is "too busy" to allow new mobile nodes to register with it, by setting the 'B' bit in its Agent Advertisements. An Agent Advertisement message MUST NOT have the 'B' bit set if the 'F' bit is not also set, and at least one of the 'F' bit and the 'H' bit MUST be set in any Agent Advertisement message sent.

When a foreign agent wishes to require registration even from those mobile nodes which have acquired a co-located care-of address, it sets the 'R' bit to one. Because this bit applies only to foreign agents, an agent MUST NOT set the 'R' bit to one unless the 'F' bit is also set to one.

# 2.1.2. Prefix-Lengths Extension

The Prefix-Lengths Extension MAY follow the Mobility Agent Advertisement Extension. It is used to indicate the number of bits of network prefix that applies to each Router Address listed in the ICMP Router Advertisement portion of the Agent Advertisement. Note that the prefix lengths given DO NOT apply to care-of address(es) listed in the Mobility Agent Advertisement Extension. The Prefix-Lengths Extension is defined as follows:



Type 19 (Prefix-Lengths Extension)

Length N, where N is the value of the Num Addrs field in the ICMP Router Advertisement portion of the Agent Advertisement.

# Prefix Length(s)

The number of leading bits that define the network number of the corresponding Router Address listed in the ICMP Router Advertisement portion of the message. The prefix length for each Router Address is encoded as a separate byte, in the order that the Router Addresses are listed in the ICMP Router Advertisement portion of the message.

See Section 2.4.2 for information about how the Prefix Lengths Extension MAY be used by a mobile node when determining whether it has moved. See Appendix E for implementation details about the use of this Extension.

# 2.1.3. One-byte Padding Extension

Some IP protocol implementations insist upon padding ICMP messages to an even number of bytes. If the ICMP length of an Agent Advertisement is odd, this Extension MAY be included in order to make the ICMP length even. Note that this Extension is NOT intended to be a general-purpose Extension to be included in order to word- or long-align the various fields of the Agent Advertisement. An Agent Advertisement SHOULD NOT include more than one One-byte Padding Extension and if present, this Extension SHOULD be the last Extension in the Agent Advertisement.

Note that unlike other Extensions used in Mobile IP, the One-byte Padding Extension is encoded as a single byte, with no "Length" nor "Data" field present. The One-byte Padding Extension is defined as follows:

```
0 1 2 3 4 5 6 7
+-+-+-+-+-+-+
Type |
+-+-+-+-+-+-+
```

Type 0 (One-byte Padding Extension)

# 2.2. Agent Solicitation

An Agent Solicitation is identical to an ICMP Router Solicitation with the further restriction that the IP TTL Field MUST be set to 1.

# 2.3. Foreign Agent and Home Agent Considerations

Any mobility agent which cannot be discovered by a link-layer protocol MUST send Agent Advertisements. An agent which can be discovered by a link-layer protocol SHOULD also implement Agent Advertisements. However, the Advertisements need not be sent, except when the site policy requires registration with the agent (i.e., when the 'R' bit is set), or as a response to a specific Agent Solicitation. All mobility agents SHOULD respond to Agent Solicitations.

The same procedures, defaults, and constants are used in Agent Advertisement messages and Agent Solicitation messages as specified for ICMP Router Discovery [4], except that:

- a mobility agent MUST limit the rate at which it sends broadcast or multicast Agent Advertisements; a recommended maximum rate is once per second, AND

- a mobility agent that receives a Router Solicitation MUST NOT require that the IP Source Address is the address of a neighbor (i.e., an address that matches one of the router's own addresses on the arrival interface, under the subnet mask associated with that address of the router).
- a mobility agent MAY be configured to send Agent Advertisements only in response to an Agent Solicitation message.

If the home network is not a virtual network, then the home agent for any mobile node SHOULD be located on the link identified by the mobile node's home address, and Agent Advertisement messages sent by the home agent on this link MUST have the 'H' bit set. In this way, mobile nodes on their own home network will be able to determine that they are indeed at home. Any Agent Advertisement messages sent by the home agent on another link to which it may be attached (if it is a mobility agent serving more than one link), MUST NOT have the 'H' bit set, unless the home agent also serves as a home agent (to other mobile nodes) on that other link.

If the home network is a virtual network, the home network has no physical realization external to the home agent itself. In this case, there is no physical network link on which to send Agent Advertisement messages advertising the home agent. Mobile nodes for which this is the home network are always treated as being away from home.

On a particular subnet, either all mobility agents MUST include the Prefix-Lengths Extension or all of them MUST NOT include this Extension. Equivalently, it is prohibited for some agents on a given subnet to include the Extension but for others not to include it. Otherwise, one of the move detection algorithms designed for mobile nodes will not function properly (Section 2.4.2).

# 2.3.1. Advertised Router Addresses

The ICMP Router Advertisement portion of the Agent Advertisement MAY contain one or more router addresses. Thus, an agent MAY include one of its own addresses in the advertisement. A foreign agent MAY discourage use of this address as a default router by setting the preference to a low value and by including the address of another router in the advertisement (with a correspondingly higher preference). Nevertheless, a foreign agent MUST route datagrams it receives from registered mobile nodes (Section 4.2.2).

# 2.3.2. Sequence Numbers and Rollover Handling

The sequence number in Agent Advertisements ranges from 0 to 0xffff. After booting, an agent MUST use the number 0 for its first advertisement. Each subsequent advertisement MUST use the sequence number one greater, with the exception that the sequence number 0xffff MUST be followed by sequence number 256. In this way, mobile nodes can distinguish reductions in sequence numbers that result from reboots, from reductions that result in rollover of the sequence number after it attains the value 0xffff.

## 2.4. Mobile Node Considerations

Every mobile node MUST implement Agent Solicitation. Solicitations SHOULD only be sent in the absence of Agent Advertisements and when a care-of address has not been determined through a link-layer protocol or other means. The mobile node uses the same procedures, defaults, and constants for Agent Solicitation as specified for ICMP Router Solicitation messages [4], except that the mobile node MAY solicit more often than once every three seconds, and that a mobile node that is currently not connected to any foreign agent MAY solicit more times than MAX SOLICITATIONS.

The rate at which a mobile node sends Solicitations MUST be limited by the mobile node. The mobile node MAY send three initial Solicitations at a maximum rate of one per second while searching for an agent. After this, the rate at which Solicitations are sent MUST be reduced so as to limit the overhead on the local link. Subsequent Solicitations MUST be sent using a binary exponential backoff mechanism, doubling the interval between consecutive Solicitations, up to a maximum interval. The maximum interval SHOULD be chosen appropriately based upon the characteristics of the media over which the mobile node is soliciting. This maximum interval SHOULD be at least one minute between Solicitations.

While still searching for an agent, the mobile node MUST NOT increase the rate at which it sends Solicitations unless it has received a positive indication that it has moved to a new link. After successfully registering with an agent, the mobile node SHOULD also increase the rate at which it will send Solicitations when it next begins searching for a new agent with which to register. The increased solicitation rate MAY revert to the maximum rate, but then MUST be limited in the manner described above. In all cases, the recommended solicitation intervals are nominal values. Mobile nodes MUST randomize their solicitation times around these nominal values as specified for ICMP Router Discovery [4].

Mobile nodes MUST process received Agent Advertisements. A mobile node can distinguish an Agent Advertisement message from other uses of the ICMP Router Advertisement message by examining the number of advertised addresses and the IP Total Length field. When the IP total length indicates that the ICMP message is longer than needed for the number of advertised addresses, the remaining data is interpreted as one or more Extensions. The presence of a Mobility Agent Advertisement Extension identifies the advertisement as an Agent Advertisement.

When multiple methods of agent discovery are in use, the mobile node SHOULD first attempt registration with agents including Mobility Agent Advertisement Extensions in their advertisements, in preference to those discovered by other means. This preference maximizes the likelihood that the registration will be recognized, thereby minimizing the number of registration attempts.

# 2.4.1. Registration Required

When the mobile node receives an Agent Advertisement with the 'R' bit set, the mobile node SHOULD register through the foreign agent, even when the mobile node might be able to acquire its own co-located care-of address. This feature is intended to allow sites to enforce visiting policies (such as accounting) which require exchanges of authorization.

## 2.4.2. Move Detection

Two primary mechanisms are provided for mobile nodes to detect when they have moved from one subnet to another. Other mechanisms MAY also be used. When the mobile node detects that it has moved, it SHOULD register (Section 3) with a suitable care-of address on the new foreign network. However, the mobile node MUST NOT register more frequently than once per second on average, as specified in Section 3.6.3.

# 2.4.2.1. Algorithm 1

The first method of move detection is based upon the Lifetime field within the main body of the ICMP Router Advertisement portion of the Agent Advertisement. A mobile node SHOULD record the Lifetime received in any Agent Advertisements, until that Lifetime expires. If the mobile node fails to receive another advertisement from the same agent within the specified Lifetime, it SHOULD assume that it has lost contact with that agent. If the mobile node has previously received an Agent Advertisement from another agent for which the Lifetime field has not yet expired, the mobile node MAY immediately attempt registration with that other agent. Otherwise, the mobile node SHOULD attempt to discover a new agent with which to register.

## 2.4.2.2. Algorithm 2

The second method uses network prefixes. The Prefix-Lengths Extension MAY be used in some cases by a mobile node to determine whether or not a newly received Agent Advertisement was received on the same subnet as the mobile node's current care-of address. If the prefixes differ, the mobile node MAY assume that it has moved. If a mobile node is currently using a foreign agent care-of address, the mobile node SHOULD NOT use this method of move detection unless both the current agent and the new agent include the Prefix-Lengths Extension in their respective Agent Advertisements; if this Extension is missing from one or both of the advertisements, this method of move detection SHOULD NOT be used. Similarly, if a mobile node is using a co-located care-of address, it SHOULD not use this method of move detection unless the new agent includes the Prefix-Lengths Extension in its Advertisement and the mobile node knows the network prefix of its current co-located care-of address. On the expiration of its current registration, if this method indicates that the mobile node has moved, rather than re-registering with its current care-of address, a mobile node MAY choose instead to register with a the foreign agent sending the new Advertisement with the different network prefix. The Agent Advertisement on which the new registration is based MUST NOT have expired according to its Lifetime field.

# 2.4.3. Returning Home

A mobile node can detect that it has returned to its home network when it receives an Agent Advertisement from its own home agent. If so, it SHOULD deregister with its home agent (Section 3). Before attempting to deregister, the mobile node SHOULD configure its routing table appropriately for its home network (Section 4.2.1). In addition, if the home network is using ARP [16], the mobile node MUST follow the procedures described in Section 4.6 with regard to ARP, proxy ARP, and gratuitous ARP.

# 2.4.4. Sequence Numbers and Rollover Handling

If a mobile node detects two successive values of the sequence number in the Agent Advertisements from the foreign agent with which it is registered, the second of which is less than the first and inside the range 0 to 255, the mobile node SHOULD register again. If the second value is less than the first but is greater than or equal to 256, the mobile node SHOULD assume that the sequence number has rolled over past its maximum value (0xffff), and that reregistration is not necessary (Section 2.3).

# 3. Registration

Mobile IP registration provides a flexible mechanism for mobile nodes to communicate their current reachability information to their home agent. It is the method by which mobile nodes:

- request forwarding services when visiting a foreign network,
- inform their home agent of their current care-of address,
- renew a registration which is due to expire, and/or
- deregister when they return home.

Registration messages exchange information between a mobile node, (optionally) a foreign agent, and the home agent. Registration creates or modifies a mobility binding at the home agent, associating the mobile node's home address with its care-of address for the specified Lifetime.

Several other (optional) capabilities are available through the registration procedure, which enable a mobile node to:

- maintain multiple simultaneous registrations, so that a copy of each datagram will be tunneled to each active care-of address
- deregister specific care-of addresses while retaining other mobility bindings, and
- discover the address of a home agent if the mobile node is not configured with this information.

## 3.1. Registration Overview

Mobile IP defines two different registration procedures, one via a foreign agent that relays the registration to the mobile node's home agent, and one directly with the mobile node's home agent. The following rules determine which of these two registration procedures to use in any particular circumstance:

- If a mobile node is registering a foreign agent care-of address, the mobile node MUST register via that foreign agent.
- If a mobile node is using a co-located care-of address, and receives an Agent Advertisement from a foreign agent on the link on which it is using this care-of address, the mobile node SHOULD register via that foreign agent (or via another foreign agent on this link) if the 'R' bit is set in the received Agent Advertisement message.
- If a mobile node is otherwise using a co-located care-of address, the mobile node MUST register directly with its home agent.
- If a mobile node has returned to its home network and is (de)registering with its home agent, the mobile node MUST register directly with its home agent.

Both registration procedures involve the exchange of Registration Request and Registration Reply messages (Sections 3.3 and 3.4). When registering via a foreign agent, the registration procedure requires the following four messages:

- a) The mobile node sends a Registration Request to the prospective foreign agent to begin the registration process.
- b) The foreign agent processes the Registration Request and then relays it to the home agent.

- c) The home agent sends a Registration Reply to the foreign agent to grant or deny the Request.
- d) The foreign agent processes the Registration Reply and then relays it to the mobile node to inform it of the disposition of its Request.

When the mobile node instead registers directly with its home agent, the registration procedure requires only the following two messages:

- a) The mobile node sends a Registration Request to the home agent.
- b) The home agent sends a Registration Reply to the mobile node, granting or denying the Request.

The registration messages defined in Sections 3.3 and 3.4 use the User Datagram Protocol (UDP) [17]. A nonzero UDP checksum SHOULD be included in the header, and MUST be checked by the recipient.

#### 3.2. Authentication

Each mobile node, foreign agent, and home agent MUST be able to support a mobility security association for mobile entities, indexed by their SPI and IP address. In the case of the mobile node, this must be its Home Address. See Section 5.1 for requirements for support of authentication algorithms. Registration messages between a mobile node and its home agent MUST be authenticated with the Mobile-Home Authentication Extension (Section 3.5.2). This Extension immediately follows all non-authentication Extensions, except those foreign agent-specific Extensions which may be added to the message after the mobile node computes the authentication.

# 3.3. Registration Request

A mobile node registers with its home agent using a Registration Request message so that its home agent can create or modify a mobility binding for that mobile node (e.g., with a new lifetime). The Request may be relayed to the home agent by the foreign agent through which the mobile node is registering, or it may be sent directly to the home agent in the case in which the mobile node is registering a co-located care-of address.

## IP fields:

Source Address Typically the interface address from which the message is sent.

Destination Address Typically that of the foreign agent or the home agent.

See Sections 3.6.1.1 and 3.7.2.2 for details.

UDP fields:

Source Port variable

Destination Port 434

The UDP header is followed by the Mobile IP fields shown below:

0	1	2		3
0 1 2 3 4 5	6 7 8 9 0 1 2 3	4 5 6 7 8 9 0 1	2 3 4 5 6 7 8 9	0 1
+-+-+-+-+-	+-+-+-+-+-+-+-	+-+-+-+-+-+-+-	+-+-+-+-+-+-+-+	+-+-+
Туре	S B D M G V	rsv  L	ifetime	
+-+-+-+-+-	+-+-+-+-+-+-+-	+-+-+-+-+-+-	+-+-+-+-+-+-+-+	<b>+-+-</b> +
	He	ome Address		
+-+-+-+-+-	+-+-+-+-+-+-	+-+-+-+-+-+-	+-+-+-+-+-+-+-+	+-+- <del>:</del>
	1	Home Agent		
+-+-+-+-+-	+-+-+-+-+-+-	+-+-+-+-+-+-	+-+-+-+-+-+-+-+	+_+_ <del>'</del>
	Care	e-of Address		
+-+-+-+-+-	+-+-+-+-+-+-+-	+-+-+-+-+-+-	+-+-+-+-+-+-+-	· +-+
				1
+	Ide	entification		÷
+-+-+-+-+-	+-+-+-+-+-+-	+-+-+-+-+-+-	+-+-+-+-+-+-+-	+-+
Extensions				
+-+-+-+-	+_+_			

Type 1 (Registration Request)

- S Simultaneous bindings. If the 'S' bit is set, the mobile node is requesting that the home agent retain its prior mobility bindings, as described in Section 3.6.1.2.
- B Broadcast datagrams. If the 'B' bit is set, the mobile node requests that the home agent tunnel to it any broadcast datagrams that it receives on the home network, as described in Section 4.3.
- D Decapsulation by mobile node. If the 'D' bit is set, the mobile node will itself decapsulate datagrams which are sent to the care-of address. That is, the mobile node is using a co-located care-of address.

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- M Minimal encapsulation. If the 'M' bit is set, the mobile node requests that its home agent use minimal encapsulation [15] for datagrams tunneled to the mobile node.
- G GRE encapsulation. If the 'G' bit is set, the mobile node requests that its home agent use GRE encapsulation [8] for datagrams tunneled to the mobile node.
- V The mobile node requests that its mobility agent use Van Jacobson header compression [10] over its link with the mobile node.

rsv Reserved bits; sent as zero

#### Lifetime

The number of seconds remaining before the registration is considered expired. A value of zero indicates a request for deregistration. A value of 0xffff indicates infinity.

#### Home Address

The IP address of the mobile node.

#### Home Agent

The IP address of the mobile node's home agent.

#### Care-of Address

The IP address for the end of the tunnel.

## Identification

A 64-bit number, constructed by the mobile node, used for matching Registration Requests with Registration Replies, and for protecting against replay attacks of registration messages. See Sections 5.4 and 5.6.

# Extensions

The fixed portion of the Registration Request is followed by one or more of the Extensions listed in Section 3.5. The Mobile-Home Authentication Extension MUST be included in all Registration Requests. See Sections 3.6.1.3 and 3.7.2.2 for information on the relative order in which different extensions, when present, MUST be placed in a Registration Request message.

# 3.4. Registration Reply

A mobility agent returns a Registration Reply message to a mobile node which has sent a Registration Request (Section 3.3) message. If the mobile node is requesting service from a foreign agent, that foreign agent will receive the Reply from the home agent and subsequently relay it to the mobile node. The Reply message contains the necessary codes to inform the mobile node about the status of its Request, along with the lifetime granted by the home agent, which MAY be smaller than the original Request.

The foreign agent MUST NOT increase the Lifetime selected by the mobile node in the Registration Request, since the Lifetime is covered by the Mobile-Home Authentication Extension, which cannot be correctly (re)computed by the foreign agent. The home agent MUST NOT increase the Lifetime selected by the mobile node in the Registration Request, since doing so could increase it beyond the maximum Registration Lifetime allowed by the foreign agent. If the Lifetime received in the Registration Reply is greater than that in the Registration Request, the Lifetime in the Request MUST be used. When the Lifetime received in the Registration Reply is less than that in the Registration Request, the Lifetime in the Reply MUST be used.

## IP fields:

Source Address Typically copied from the destination

address of the Registration Request to which the agent is replying. See Sections 3.7.2.3

and 3.8.3.1 for complete details.

Destination Address Copied from the source address of the

Registration Request to which the agent is

replying

UDP fields:

Source Port <variable>

Destination Port Copied from the source port of the

corresponding Registration Request

(Section 3.7.1).

The UDP header is followed by the Mobile IP fields shown below:

 $\begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 \\ \end{smallmatrix}$ Type | Code | Lifetime Home Address Home Agent Identification Extensions ... +-+-+-+-+-

3 (Registration Reply) Type

Code A value indicating the result of the Registration Request. See below for a list of currently defined Code values.

Lifetime

If the Code field indicates that the registration was accepted, the Lifetime field is set to the number of seconds remaining before the registration is considered expired. A value of zero indicates that the mobile node has been deregistered. A value of 0xffff indicates infinity. If the Code field indicates that the registration was denied, the contents of the Lifetime field are unspecified and MUST be ignored on reception.

Home Address

The IP address of the mobile node.

Home Agent

The IP address of the mobile node's home agent.

## Identification

A 64-bit number used for matching Registration Requests with Registration Replies, and for protecting against replay attacks of registration messages. The value is based on the Identification field from the Registration Request message from the mobile node, and on the style of replay protection used in the security context between the mobile node and its home agent (defined by the mobility security association between them, and SPI value in the Mobile-Home Authentication Extension). See Sections 5.4 and 5.6.

## Extensions

The fixed portion of the Registration Reply is followed by one or more of the Extensions listed in Section 3.5. The Mobile-Home Authentication Extension MUST be included in all Registration Replies returned by the home agent. See Sections 3.7.2.2 and 3.8.3.3 for rules on placement of extensions to Reply messages.

The following values are defined for use within the Code field. Registration successful:

- 0 registration accepted
- 1 registration accepted, but simultaneous mobility bindings unsupported

Registration denied by the foreign agent:

- 64 reason unspecified
- 65 administratively prohibited
- 66 insufficient resources
- 67 mobile node failed authentication
- 68 home agent failed authentication
- 69 requested Lifetime too long
- 70 poorly formed Request
- 71 poorly formed Reply
- 72 requested encapsulation unavailable
- 73 requested Van Jacobson compression unavailable
- 80 home network unreachable (ICMP error received)
- 81 home agent host unreachable (ICMP error received)
- 82 home agent port unreachable (ICMP error received)
- 88 home agent unreachable (other ICMP error received)

Registration denied by the home agent:

- 128 reason unspecified
- 129 administratively prohibited
- 130 insufficient resources
- 131 mobile node failed authentication
- 132 foreign agent failed authentication
- 133 registration Identification mismatch
- 134 poorly formed Request
- 135 too many simultaneous mobility bindings
- 136 unknown home agent address

Up-to-date values of the Code field are specified in the most recent "Assigned Numbers" [20].

# 3.5. Registration Extensions

## 3.5.1. Computing Authentication Extension Values

The Authenticator value computed for each authentication Extension MUST protect the following fields from the registration message:

- the UDP payload (that is, the Registration Request or Registration Reply data),
- all prior Extensions in their entirety, and
- the Type and Length of this Extension.

The default authentication algorithm uses keyed-MD5 [21] in "prefix+suffix" mode to compute a 128-bit "message digest" of the registration message. The default authenticator is a 128-bit value computed as the MD5 checksum over the the following stream of bytes:

- the shared secret defined by the mobility security association between the nodes and by SPI value specified in the authentication Extension, followed by
- the protected fields from the registration message, in the order specified above, followed by
- the shared secret again.

Note that the Authenticator field itself and the UDP header are NOT included in the computation of the default Authenticator value. See Section 5.1 for information about support requirements for message authentication codes, which are to be used with the various authentication Extensions.

The Security Parameter Index (SPI) within any of the authentication Extensions defines the security context which is used to compute the Authenticator value and which MUST be used by the receiver to check that value. In particular, the SPI selects the authentication algorithm and mode (Section 5.1) and secret (a shared key, or appropriate public/private key pair) used in computing the Authenticator. In order to ensure interoperability between different implementations of the Mobile IP protocol, an implementation MUST be able to associate any SPI value with any authentication algorithm and mode which it implements. In addition, all implementations of Mobile IP MUST implement the default authentication algorithm (keyed-MD5) and mode ("prefix+suffix") defined above.

# 3.5.2. Mobile-Home Authentication Extension

Exactly one Mobile-Home Authentication Extension MUST be present in all Registration Requests and Registration Replies, and is intended to eliminate problems [2] which result from the uncontrolled propagation of remote redirects in the Internet. The location of the extension marks the end of the authenticated data.

		2 6 7 8 9 0 1 2 3 4 5 6 +-+-+-+-+-+-+-	
Type	Length	SPI	+-+-+-+-+
• • •	SPI (cont.)	Authenticator	• • •
Туре	32		

Length 4 plus the number of bytes in the Authenticator.

SPI Security Parameter Index (4 bytes). An opaque identifier (see Section 1.6).

Authenticator (variable length) (See Section 3.5.1.)

# 3.5.3. Mobile-Foreign Authentication Extension

This Extension MAY be included in Registration Requests and Replies in cases in which a mobility security association exists between the mobile node and the foreign agent. See Section 5.1 for information about support requirements for message authentication codes.

Authenticator (variable length) (See Section 3.5.1.)

### 3.5.4. Foreign-Home Authentication Extension

This Extension MAY be included in Registration Requests and Replies in cases in which a mobility security association exists between the foreign agent and the home agent. See Section 5.1 for information about support requirements for message authentication codes.

Authenticator (variable length) (See Section 3.5.1.)

### 3.6. Mobile Node Considerations

A mobile node MUST be configured with its home address, a netmask, and a mobility security association for each home agent. In addition, a mobile node MAY be configured with the IP address of one or more of its home agents; otherwise, the mobile node MAY discover a home agent using the procedures described in Section 3.6.1.2.

For each pending registration, the mobile node maintains the following information:

- the link-layer address of the foreign agent to which the Registration Request was sent, if applicable,
- the IP destination address of the Registration Request,
- the care-of address used in the registration,
- the Identification value sent in the registration,
- the originally requested Lifetime, and
- the remaining Lifetime of the pending registration.

A mobile node SHOULD initiate a registration whenever it detects a change in its network connectivity. See Section 2.4.2 for methods by which mobile nodes MAY make such a determination. When it is away from home, the mobile node's Registration Request allows its home agent to create or modify a mobility binding for it. When it is at home, the mobile node's (de)Registration Request allows its home agent to delete any previous mobility binding(s) for it. A mobile node operates without the support of mobility functions when it is at home.

There are other conditions under which the mobile node SHOULD (re)register with its foreign agent, such as when the mobile node detects that the foreign agent has rebooted (as specified in Section 2.4.4) and when the current registration's Lifetime is near expiration.

In the absence of link-layer indications of changes in point of attachment, Agent Advertisements from new agents SHOULD NOT cause a mobile node to attempt a new registration, if its current registration has not expired and it is still also receiving Agent Advertisements from the foreign agent with which it is currently registered. In the absence of link-layer indications, a mobile node MUST NOT attempt to register more often than once per second.

A mobile node MAY register with a different agent when transportlayer protocols indicate excessive retransmissions. A mobile node MUST NOT consider reception of an ICMP Redirect from a foreign agent that is currently providing service to it as reason to register with a new foreign agent. Within these constraints, the mobile node MAY register again at any time.

Appendix D shows some examples of how the fields in registration messages would be set up in some typical registration scenarios.

## 3.6.1. Sending Registration Requests

The following sections specify details for the values the mobile node MUST supply in the fields of Registration Request messages.

#### 3.6.1.1. IP Fields

This section provides the specific rules by which mobile nodes pick values for the IP header fields of a Registration Request.

#### IP Source Address:

- When registering on a foreign network with a co-located care-of address, the IP source address MUST be the care-of address.
- In all other circumstances, the IP source address MUST be the mobile node's home address.

#### IP Destination Address:

- When the mobile node has discovered the agent with which it is registering, through some means (e.g., link-layer) that does not provide the IP address of the agent (the IP address of the agent is unknown to the mobile node), then the "All Mobility Agents" multicast address (224.0.0.11) MUST be used. In this case, the mobile node MUST use the agent's link-layer unicast address in order to deliver the datagram to the correct agent.
- When registering with a foreign agent, the address of the agent as learned from the IP source address of the corresponding Agent Advertisement MUST be used. In addition, when transmitting this Registration Request message, the mobile node MUST use a link-layer destination address copied from the link-layer source address of the Agent Advertisement message in which it learned this foreign agent's IP address.
- When the mobile node is registering directly with its home agent and knows the (unicast) IP address of its home agent, the destination address MUST be set to this address.

- If the mobile node is registering directly with its home agent, but does not know the IP address of its home agent, the mobile node may use dynamic home agent address resolution to automatically determine the IP address of its home agent (Section 3.6.1.2). In this case, the IP destination address is set to the subnet-directed broadcast address of the mobile node's home network. This address MUST NOT be used as the destination IP address if the mobile node is registering via a foreign agent, although it MAY be used as the Home Agent address in the body of the Registration Request when registering via a foreign agent.

#### IP Time to Live:

- The IP TTL field MUST be set to 1 if the IP destination address is set to the "All Mobility Agents" multicast address as described above. Otherwise a suitable value should be chosen in accordance with standard IP practice [19].

## 3.6.1.2. Registration Request Fields

This section provides specific rules by which mobile nodes pick values for the fields within the fixed portion of a Registration Request.

A mobile node MAY set the 'S' bit in order to request that the home agent maintain prior mobility binding(s). Otherwise, the home agent deletes any previous binding(s) and replaces them with the new binding specified in the Registration Request. Multiple simultaneous mobility bindings are likely to be useful when a mobile node using at least one wireless network interface moves within wireless transmission range of more than one foreign agent. IP explicitly allows duplication of datagrams. When the home agent allows simultaneous bindings, it will tunnel a separate copy of each arriving datagram to each care-of address, and the mobile node will receive multiple copies of datagrams destined to it.

The mobile node SHOULD set the 'D' bit if it is registering with a co-located care-of address. Otherwise, the 'D' bit MUST NOT be set.

A mobile node MAY set the 'B' bit to request its home agent to forward to it, a copy of broadcast datagrams received by its home agent from the home network. The method used by the home agent to forward broadcast datagrams depends on the type of care-of address registered by the mobile node, as determined by the 'D' bit in the mobile node's Registration Request:

- If the 'D' bit is set, then the mobile node has indicated that it will decapsulate any datagrams tunneled to this care-of address itself (the mobile node is using a co-located care-of address). In this case, to forward such a received broadcast datagram to the mobile node, the home agent MUST tunnel it to this care-of address. The mobile node de-tunnels the received datagram in the same way as any other datagram tunneled directly to it.
- If the 'D' bit is NOT set, then the mobile node has indicated that it is using a foreign agent care-of address, and that the foreign agent will thus decapsulate arriving datagrams before forwarding them to the mobile node. In this case, to forward such a received broadcast datagram to the mobile node, the home agent MUST first encapsulate the broadcast datagram in a unicast datagram addressed to the mobile node's home address, and then MUST tunnel this resulting datagram to the mobile node's care-of address.

When decapsulated by the foreign agent, the inner datagram will thus be a unicast IP datagram addressed to the mobile node, identifying to the foreign agent the intended destination of the encapsulated broadcast datagram, and will be delivered to the mobile node in the same way as any tunneled datagram arriving for the mobile node. The foreign agent MUST NOT decapsulate the encapsulated broadcast datagram and MUST NOT use a local network broadcast to transmit it to the mobile node. The mobile node thus MUST decapsulate the encapsulated broadcast datagram itself, and thus MUST NOT set the 'B' bit in its Registration Request in this case unless it is capable of decapsulating datagrams.

The mobile node MAY request alternative forms of encapsulation by setting the 'M' bit and/or the 'G' bit, but only if the mobile node is decapsulating its own datagrams (the mobile node is using a colocated care-of address) or if its foreign agent has indicated support for these forms of encapsulation by setting the corresponding bits in the Mobility Agent Advertisement Extension of an Agent Advertisement received by the mobile node. Otherwise, the mobile node MUST NOT set these bits.

The Lifetime field is chosen as follows:

- If the mobile node is registering with a foreign agent, the Lifetime SHOULD NOT exceed the value in the Registration Lifetime field of the Agent Advertisement message received from the foreign agent. When the method by which the care-of address is learned does not include a Lifetime, the default ICMP Router Advertisement Lifetime (1800 seconds) MAY be used.

- The mobile node MAY ask a home agent to delete a particular mobility binding, by sending a Registration Request with the care-of address for this binding, with the Lifetime field set to zero (Section 3.8.2).
- Similarly, a Lifetime of zero is used when the mobile node deregisters all care-of addresses, such as upon returning home.

The Home Agent field MUST be set to the address of the mobile node's home agent, if the mobile node knows this address. Otherwise, the mobile node MAY use dynamic home agent address resolution to learn the address of its home agent. In this case, the mobile node MUST set the Home Agent field to the subnet-directed broadcast address of the mobile node's home network. Each home agent receiving such a Registration Request with a broadcast destination address MUST reject the mobile node's registration and SHOULD return a rejection Registration Reply indicating its unicast IP address for use by the mobile node in a future registration attempt.

The Care-of Address field MUST be set to the value of the particular care-of address that the mobile node wishes to (de)register. In the special case in which a mobile node wishes to deregister all care-of addresses, it MUST set this field to its home address.

The mobile node chooses the Identification field in accordance with the style of replay protection it uses with its home agent. This is part of the mobility security association the mobile node shares with its home agent. See Section 5.6 for the method by which the mobile node computes the Identification field.

## 3.6.1.3. Extensions

This section describes the ordering of any mandatory and any optional Extensions that a mobile node appends to a Registration Request. This following ordering MUST be followed:

- The IP header, followed by the UDP header, followed by the a) fixed-length portion of the Registration Request, followed by
- If present, any non-authentication Extensions expected to be b) used by the home agent (which may or may not also be used by the foreign agent), followed by
- The Mobile-Home Authentication Extension, followed by C)
- If present, any non-authentication Extensions used only by the foreign agent, followed by

The Mobile-Foreign Authentication Extension, if present. e)

Note that items (a) and (c) MUST appear in every Registration Request sent by the mobile node. Items (b), (d), and (e) are optional. However, item (e) MUST be included when the mobile node and the foreign agent share a mobility security association.

#### 3.6.2. Receiving Registration Replies

Registration Replies will be received by the mobile node in response to its Registration Requests. Registration Replies generally fall into three categories:

- the registration was accepted,
- the registration was denied by the foreign agent, or
- the registration was denied by the home agent.

The remainder of this section describes the Registration Reply handling by a mobile node in each of these three categories.

#### 3.6.2.1. Validity Checks

Registration Replies with an invalid, non-zero UDP checksum MUST be silently discarded.

In addition, the low-order 32 bits of the Identification field in the Registration Reply MUST be compared to the low-order 32 bits of the Identification field in the most recent Registration Request sent to the replying agent. If they do not match, the Reply MUST be silently discarded.

Also, the authentication in the Registration Reply MUST be checked. That is, the mobile node MUST check for the presence of a valid authentication Extension, acting in accordance with the Code field in the Reply. The rules are as follows:

If the mobile node and the foreign agent share a a) mobility security association, exactly one Mobile-Foreign Authentication Extension MUST be present in the Registration Reply, and the mobile node MUST check the Authenticator value in the Extension. If no Mobile-Foreign Authentication Extension is found, or if more than one Mobile-Foreign Authentication Extension is found, or if the Authenticator is invalid, the mobile node MUST silently discard the Reply and SHOULD log the event as a security exception.

If the Code field indicates that service is denied by b) the home agent, or if the Code field indicates that the registration was accepted by the home agent, exactly one Mobile-Home Authentication Extension MUST be present in the Registration Reply, and the mobile node MUST check the Authenticator value in the Extension. If no Mobile-Home Authentication Extension is found, or if more than one Mobile-Home Authentication Extension is found, or if the Authenticator is invalid, the mobile node MUST silently discard the Reply and SHOULD log the event as a security exception.

If the Code field indicates an authentication failure, either at the foreign agent or the home agent, then it is quite possible that any authenticators in the Registration Reply will also be in error. This could happen, for example, if the shared secret between the mobile node and home agent was erroneously configured. The mobile node SHOULD log such errors as security exceptions.

#### 3.6.2.2. Registration Request Accepted

If the Code field indicates that the request has been accepted, the mobile node SHOULD configure its routing table appropriately for its current point of attachment (Section 4.2.1).

If the mobile node is returning to its home network and that network is one which implements ARP, the mobile node MUST follow the procedures described in Section 4.6 with regard to ARP, proxy ARP, and gratuitous ARP.

If the mobile node has registered on a foreign network, it SHOULD re-register before the expiration of the Lifetime of its registration. As described in Section 3.6, for each pending Registration Request, the mobile node MUST maintain the remaining lifetime of this pending registration, as well as the original Lifetime from the Registration Request. When the mobile node receives a valid Registration Reply, the mobile node MUST decrease its view of the remaining lifetime of the registration by the amount by which the home agent decreased the originally requested Lifetime. This procedure is equivalent to the mobile node starting a timer for the granted Lifetime at the time it sent the Registration Request, even though the granted Lifetime is not known to the mobile node until the Registration Reply is received. Since the Registration Request is certainly sent before the home agent begins timing the registration Lifetime (also based on the granted Lifetime), this procedure ensures that the mobile node will re-register before the home agent expires and deletes the registration, in spite of possibly non-negligible transmission delays for the original Registration

Request and Reply that started the timing of the Lifetime at the mobile node and its home agent.

### 3.6.2.3. Registration Request Denied

If the Code field indicates that service is being denied, the mobile node SHOULD log the error. In certain cases the mobile node may be able to "repair" the error. These include:

Code 69: (Denied by foreign agent, Lifetime too long)

In this case, the Lifetime field in the Registration Reply will contain the maximum Lifetime value which that foreign agent is willing to accept in any Registration Request. The mobile node MAY attempt to register with this same agent, using a Lifetime in the Registration Request that MUST be less than or equal to the value specified in the Reply.

Code 133: (Denied by home agent, Identification mismatch)

In this case, the Identification field in the Registration Reply will contain a value that allows the mobile node to synchronize with the home agent, based upon the style of replay protection in effect (Section 5.6). The mobile node MUST adjust the parameters it uses to compute the Identification field based upon the information in the Registration Reply, before issuing any future Registration Requests.

Code 136: (Denied by home agent, Unknown home agent address)

This code is returned by a home agent when the mobile node is performing dynamic home agent address resolution as described in Sections 3.6.1.1 and 3.6.1.2. In this case, the Home Agent field within the Reply will contain the unicast IP address of the home agent returning the Reply. The mobile node MAY then attempt to register with this home agent in future Registration Requests. In addition, the mobile node SHOULD adjust the parameters it uses to compute the Identification field based upon the corresponding field in the Registration Reply, before issuing any future Registration Requests.

### 3.6.3. Registration Retransmission

When no Registration Reply has been received within a reasonable time, another Registration Request MAY be transmitted. When timestamps are used, a new registration Identification is chosen for each retransmission; thus it counts as a new registration. When nonces are used, the unanswered Request is retransmitted unchanged;

thus the retransmission does not count as a new registration (Section 5.6). In this way a retransmission will not require the home agent to resynchronize with the mobile node by issuing another nonce in the case in which the original Registration Request (rather than its Registration Reply) was lost by the network.

The maximum time until a new Registration Request is sent SHOULD be no greater than the requested Lifetime of the Registration Request. The minimum value SHOULD be large enough to account for the size of the messages, twice the round trip time for transmission to the home agent, and at least an additional 100 milliseconds to allow for processing the messages before responding. The round trip time for transmission to the home agent will be at least as large as the time required to transmit the messages at the link speed of the mobile node's current point of attachment. Some circuits add another 200 milliseconds of satellite delay in the total round trip time to the home agent. The minimum time between Registration Requests MUST NOT be less than 1 second. Each successive retransmission timeout period SHOULD be at least twice the previous period, as long as that is less than the maximum as specified above.

### 3.7. Foreign Agent Considerations

The foreign agent plays a mostly passive role in Mobile IP registration. It relays Registration Requests between mobile nodes and home agents, and, when it provides the care-of address, decapsulates datagrams for delivery to the mobile node. It SHOULD also send periodic Agent Advertisement messages to advertise its presence as described in Section 2.3, if not detectable by link-layer means.

A foreign agent MUST NOT transmit a Registration Request except when relaying a Registration Request received from a mobile node, to the mobile node's home agent. A foreign agent MUST NOT transmit a Registration Reply except when relaying a Registration Reply received from a mobile node's home agent, or when replying to a Registration Request received from a mobile node in the case in which the foreign agent is denying service to the mobile node. In particular, a foreign agent MUST NOT generate a Registration Request or Reply because a mobile node's registration Lifetime has expired. A foreign agent also MUST NOT originate a Registration Request message that asks for deregistration of a mobile node; however, it MUST relay valid (de)Registration Requests originated by a mobile node.

## 3.7.1. Configuration and Registration Tables

Each foreign agent MUST be configured with a care-of address. In addition, for each pending or current registration, the foreign agent MUST maintain a visitor list entry containing the following information obtained from the mobile node's Registration Request:

- the link-layer source address of the mobile node
- the IP Source Address (the mobile node's Home Address)
- the IP Destination Address (as specified in 3.6.2.3)
- the UDP Source Port
- the Home Agent address
- the Identification field
- the requested registration Lifetime, and
- the remaining Lifetime of the pending or current registration.

As with any node on the Internet, a foreign agent MAY also share mobility security associations with any other nodes. When relaying a Registration Request from a mobile node to its home agent, if the foreign agent shares a mobility security association with the home agent, it MUST add a Foreign-Home Authentication Extension to the Request and MUST check the required Foreign-Home Authentication Extension in the Registration Reply from the home agent (Sections 3.3 and 3.4). Similarly, when receiving a Registration Request from a mobile node, if the foreign agent shares a mobility security association with the mobile node, it MUST check the required Mobile-Foreign Authentication Extension in the Request and MUST add a Mobile-Foreign Authentication Extension to the Registration Reply to the mobile node.

### 3.7.2. Receiving Registration Requests

If the foreign agent accepts a Registration Request from a mobile node, it then MUST relay the Request to the indicated home agent. Otherwise, if the foreign agent denies the Request, it MUST send a Registration Reply to the mobile node with an appropriate denial Code, except in cases where the foreign agent would be required to send out more than one such denial per second to the same mobile node. The following sections describe this behavior in more detail.

If a foreign agent receives a Registration Request from a mobile node in its visitor list, the existing visitor list entry for the mobile node SHOULD NOT be deleted or modified until the foreign agent receives a valid Registration Reply from the home agent with a Code indicating success. The foreign agent MUST record the new pending

Request separately from the existing visitor list entry for the mobile node. If the Registration Request requests deregistration, the existing visitor list entry for the mobile node  ${\tt SHOULD}$   ${\tt NOT}$  be deleted until the foreign agent has received a successful Registration Reply. If the Registration Reply indicates that the Request (for registration or deregistration) was denied by the home agent, the existing visitor list entry for the mobile node MUST NOT be modified as a result of receiving the Registration Reply.

#### 3.7.2.1. Validity Checks

Registration Requests with an invalid, non-zero UDP checksum MUST be silently discarded.

Also, the authentication in the Registration Request MUST be checked. If the foreign agent and the mobile node share a mobility security association, exactly one Mobile-Foreign Authentication Extension MUST be present in the Registration Request, and the foreign agent MUST check the Authenticator value in the Extension. If no Mobile-Foreign Authentication Extension is found, or if more than one Mobile-Foreign Authentication Extension is found, or if the Authenticator is invalid, the foreign agent MUST silently discard the Request and SHOULD log the event as a security exception. The foreign agent also SHOULD send a Registration Reply to the mobile node with Code 67.

### 3.7.2.2. Forwarding a Valid Request to the Home Agent

If the foreign agent accepts the mobile node's Registration Request, it MUST relay the Request to the mobile node's home agent as specified in the Home Agent field of the Registration Request. The foreign agent MUST NOT modify any of the fields beginning with the fixed portion of the Registration Request up through and including the Mobile-Home Authentication Extension. Otherwise, an authentication failure is very likely to occur at the home agent. In addition, the foreign agent proceeds as follows:

- It MUST process and remove any Extensions following the Mobile-Home Authentication Extension,
- It MAY append any of its own non-authentication Extensions of relevance to the home agent, if applicable, and
- It MUST append the Foreign-Home Authentication Extension, if the foreign agent shares a mobility security association with the home agent.

Specific fields within the IP header and the UDP header of the relayed Registration Request MUST be set as follows:

#### IP Source Address

The foreign agent's address on the interface from which the message will be sent.

#### IP Destination Address

Copied from the Home Agent field within the Registration Request.

UDP Destination Port
434

After forwarding a valid Registration Request to the home agent, the foreign agent MUST begin timing the remaining lifetime of the pending registration based on the Lifetime in the Registration Request. If this lifetime expires before receiving a valid Registration Reply, the foreign agent MUST delete its visitor list entry for this pending registration.

## 3.7.2.3. Denying Invalid Requests

If the foreign agent denies the mobile node's Registration Request for any reason, it SHOULD send the mobile node a Registration Reply with a suitable denial Code. In such a case, the Home Address, Home Agent, and Identification fields within the Registration Reply are copied from the corresponding fields of the Registration Request.

If the Reserved field is nonzero, the foreign agent MUST deny the Request and SHOULD return a Registration Reply with status code 70 to the mobile node. If the Request is being denied because the requested Lifetime is too long, the foreign agent sets the Lifetime in the Reply to the maximum Lifetime value it is willing to accept in any Registration Request, and sets the Code field to 69. Otherwise, the Lifetime SHOULD be copied from the Lifetime field in the Request.

Specific fields within the IP header and the UDP header of the Registration Reply MUST be set as follows:

#### IP Source Address

Copied from the IP Destination Address of Registration Request, unless the "All Agents Multicast" address was used. In this case, the foreign agent's address (on the interface from which the message will be sent) MUST be

used.

IP Destination Address

Copied from the IP Source Address of the Registration Request.

UDP Source Port

UDP Destination Port

Copied from the UDP Source Port of the Registration Request.

#### 3.7.3. Receiving Registration Replies

The foreign agent updates its visitor list when it receives a valid Registration Reply from a home agent. It then relays the Registration Reply to the mobile node. The following sections describe this behavior in more detail.

If upon relaying a Registration Request to a home agent, the foreign agent receives an ICMP error message instead of a Registration Reply, then the foreign agent SHOULD send to the mobile node a Registration Reply with an appropriate "Home Agent Unreachable" failure Code (within the range 80-95, inclusive). See Section 3.7.2.3 for details on building the Registration Reply.

### 3.7.3.1. Validity Checks

Registration Replies with an invalid, non-zero UDP checksum MUST be silently discarded.

When a foreign agent receives a Registration Reply message, it MUST search its visitor list for a pending Registration Request with the same mobile node home address as indicated in the Reply. If no pending Request is found, the foreign agent MUST silently discard the Reply. The foreign agent MUST also silently discard the Reply if the low-order 32 bits of the Identification field in the Reply do not match those in the Request.

Also, the authentication in the Registration Reply MUST be checked. If the foreign agent and the home agent share a mobility security association, exactly one Foreign-Home Authentication Extension MUST be present in the Registration Reply, and the foreign agent MUST check the Authenticator value in the Extension. If no Foreign-Home Authentication Extension is found, or if more than one Foreign-Home Authentication Extension is found, or if the Authenticator is invalid, the foreign agent MUST silently discard the Reply and SHOULD

log the event as a security exception. The foreign agent also MUST reject the mobile node's registration and SHOULD send a Registration Reply to the mobile node with Code 68.

### 3.7.3.2. Forwarding Replies to the Mobile Node

A Registration Reply which satisfies the validity checks of Section 3.8.2.1 is relayed to the mobile node. The foreign agent MUST also update its visitor list entry for the mobile node to reflect the results of the Registration Request, as indicated by the Code field in the Reply. If the Code indicates that the mobile node has accepted the registration and the Lifetime field is nonzero, the foreign agent MUST set the Lifetime in the visitor list entry to the value specified in the Lifetime field of the Registration Reply. If, instead, the Code indicates that the Lifetime field is zero, the foreign agent MUST delete its visitor list entry for the mobile node. Finally, if the Code indicates that the registration was denied by the home agent, the foreign agent MUST delete its pending registration list entry, but not its visitor list entry, for the mobile node.

The foreign agent MUST NOT modify any of the fields beginning with the fixed portion of the Registration Reply up through and including the Mobile-Home Authentication Extension. Otherwise, an authentication failure is very likely to occur at the mobile node. In addition, the foreign agent SHOULD perform the following additional procedures:

- It MUST process and remove any Extensions following the Mobile-Home Authentication Extension,
- It MAY append its own non-authentication Extensions of relevance to the mobile node, if applicable, and
- It MUST append the Mobile-Foreign Authentication Extension, if the foreign agent shares a mobility security association with the mobile node.

Specific fields within the IP header and the UDP header of the relayed Registration Reply are set according to the same rules specified in Section 3.7.2.3.

After forwarding a valid Registration Reply to the mobile node, the foreign agent MUST update its visitor list entry for this registration as follows. If the Registration Reply indicates that the registration was accepted by the home agent, the foreign agent resets its timer of the lifetime of the registration to the Lifetime granted in the Registration Reply; unlike the mobile node's timing of the registration lifetime as described in Section 3.6.2.2, the foreign agent considers this lifetime to begin when it forwards the

Registration Reply message, ensuring that the foreign agent will not expire the registration before the mobile node does. On the other hand, if the Registration Reply indicates that the registration was rejected by the home agent, the foreign agent deletes its visitor list entry for this attempted registration.

### 3.8. Home Agent Considerations

Home agents play a reactive role in the registration process. home agent receives Registration Requests from the mobile node (perhaps relayed by a foreign agent), updates its record of the mobility bindings for this mobile node, and issues a suitable Registration Reply in response to each.

A home agent MUST NOT transmit a Registration Reply except when replying to a Registration Request received from a mobile node. particular, the home agent MUST NOT generate a Registration Reply to indicate that the Lifetime has expired.

#### 3.8.1. Configuration and Registration Tables

Each home agent MUST be configured with an IP address and with the prefix size for the home network. The home agent MUST be configured with the home address and mobility security association of each authorized mobile node that it is serving as a home agent. When the home agent accepts a valid Registration Request from a mobile node that it serves as a home agent, the home agent MUST create or modify the entry for this mobile node in its mobility binding list containing:

- the mobile node's care-of address
- the Identification field from the Registration Reply
- the remaining Lifetime of the registration

The home agent MAY also maintain mobility security associations with various foreign agents. When receiving a Registration Request from a foreign agent, if the home agent shares a mobility security association with the foreign agent, the home agent MUST check the Authenticator in the required Foreign-Home Authentication Extension in the message, based on this mobility security association. Similarly, when sending a Registration Reply to a foreign agent, if the home agent shares a mobility security association with the foreign agent, the home agent MUST include a Foreign-Home Authentication Extension in the message, based on this mobility security association.

# 3.8.2. Receiving Registration Requests

If the home agent accepts an incoming Registration Request, it MUST update its record of the the mobile node's mobility binding(s) and SHOULD send a Registration Reply with a suitable Code. Otherwise (the home agent denies the Request), it SHOULD send a Registration Reply with an appropriate Code specifying the reason the Request was denied. The following sections describe this behavior in more detail.

## 3.8.2.1. Validity Checks

Registration Requests with an invalid, non-zero UDP checksum MUST be silently discarded by the home agent.

The authentication in the Registration Request MUST be checked. This involves the following operations:

- a) The home agent MUST check for the presence of a valid Mobile-Home Authentication Extension, and perform the indicated authentication. Exactly one Mobile-Home Authentication Extension MUST be present in the Registration Request, and the home agent MUST check the Authenticator value in the Extension. If no Mobile-Home Authentication Extension is found, or if more than one Mobile-Home Authentication Extension is found, or if the Authenticator is invalid, the home agent MUST reject the mobile node's registration and SHOULD send a Registration Reply to the mobile node with Code 131. The home agent MUST then discard the Request and SHOULD log the error as a security exception.
- b) The home agent MUST check that the registration Identification field is correct using the context selected by the SPI within the Mobile-Home Authentication Extension. See Section 5.6 for a description of how this is performed. If incorrect, the home agent MUST reject the Request and SHOULD send a Registration Reply to the mobile node with Code 133, including an Identification field computed in accordance with the rules specified in Section 5.6. The home agent MUST do no further processing with such a Request, though it SHOULD log the error as a security exception.
- c) If the home agent shares a mobility security association with the foreign agent, the home agent MUST check for the presence of a valid Foreign-Home Authentication Extension. Exactly one Foreign-Home Authentication Extension MUST be present in the Registration Request in this case, and the home agent MUST check the Authenticator value in the Extension. If no Foreign-Home Authentication Extension is found, or if more than one Foreign-Home Authentication Extension is found, or

if the Authenticator is invalid, the home agent MUST reject the mobile node's registration and SHOULD send a Registration Reply to the mobile node with Code 132. The home agent MUST then discard the Request and SHOULD log the error as a security exception.

In addition to checking the authentication in the Registration Request, home agents MUST deny Registration Requests that are sent to the subnet-directed broadcast address of the home network (as opposed to being unicast to the home agent). The home agent MUST discard the Request and SHOULD returning a Registration Reply with a Code of 136. In this case, the Registration Reply will contain the home agent's unicast address, so that the mobile node can re-issue the Registration Request with the correct home agent address.

### 3.8.2.2. Accepting a Valid Request

If the Registration Request satisfies the validity checks in Section 3.8.2.1, and the home agent is able to accommodate the Request, the home agent MUST update its mobility binding list for the requesting mobile node and MUST return a Registration Reply to the mobile node. In this case, the Reply Code will be either 0 if the home agent supports simultaneous mobility bindings, or 1 if it does not. See Section 3.8.3 for details on building the Registration Reply message.

The home agent updates its record of the mobile node's mobility bindings as follows, based on the fields in the Registration Request:

- If the Lifetime is zero and the Care-of Address equals the mobile node's home address, the home agent deletes all of the entries in the mobility binding list for the requesting mobile node. This is how a mobile node requests that its home agent cease providing mobility services.
- If the Lifetime is zero and the Care-of Address does not equal the mobile node's home address, the home agent deletes only the entry containing the specified Care-of Address from the mobility binding list for the requesting mobile node. Any other active entries containing other care-of addresses will remain active.
- If the Lifetime is nonzero, the home agent adds an entry containing the requested Care-of Address to the mobility binding list for the mobile node. If the 'S' bit is set and the home agent supports simultaneous mobility bindings, the previous mobility binding entries are retained. Otherwise, the home agent removes all previous entries in the mobility binding list for the mobile node.

In all cases, the home agent MUST send a Registration Reply to the source of the Registration Request, which might indeed be a different foreign agent than that whose care-of address is being (de)registered. If the home agent shares a mobility security association with the foreign agent whose care-of address is being deregistered, and that foreign agent is different from the one which relayed the Registration Request, the home agent MAY additionally send a Registration Reply to the foreign agent whose care-of address is being deregistered. The home agent MUST NOT send such a Reply if it does not share a mobility security association with the foreign agent. If no Reply is sent, the foreign agent's visitor list will expire naturally when the original Lifetime expires.

The home agent MUST NOT increase the Lifetime above that specified by the mobile node in the Registration Request. However, it is not an error for the mobile node to request a Lifetime longer than the home agent is willing to accept. In this case, the home agent simply reduces the Lifetime to a permissible value and returns this value in the Registration Reply. The Lifetime value in the Registration Reply informs the mobile node of the granted lifetime of the registration, indicating when it SHOULD re-register in order to maintain continued service. After the expiration of this registration lifetime, the home agent MUST delete its entry for this registration in its mobility binding list.

If the Registration Request duplicates an accepted current Registration Request, the new Lifetime MUST NOT extend beyond the Lifetime originally granted. A Registration Request is a duplicate if the home address, care-of address, and Identification fields all equal those of an accepted current registration.

In addition, if the home network implements ARP [16], and the Registration Request asks the home agent to create a mobility binding for a mobile node which previously had no binding (the mobile node was previously assumed to be at home), then the home agent MUST follow the procedures described in Section 4.6 with regard to ARP, proxy ARP, and gratuitous ARP. If the mobile node already had a previous mobility binding, the home agent MUST continue to follow the rules for proxy ARP described in Section 4.6.

### 3.8.2.3. Denying an Invalid Request

If the Registration Reply does not satisfy all of the validity checks in Section 3.8.2.1, or the home agent is unable to accommodate the Request, the home agent SHOULD return a Registration Reply to the mobile node with a Code that indicates the reason for the error. If a foreign agent was involved in relaying the Request, this allows the foreign agent to delete its pending visitor list entry. Also, this

informs the mobile node of the reason for the error such that it may attempt to fix the error and issue another Request.

This section lists a number of reasons the home agent might reject a Request, and provides the Code value it should use in each instance. See Section 3.8.3 for additional details on building the Registration Reply message.

Many reasons for rejecting a registration are administrative in nature. For example, a home agent can limit the number of simultaneous registrations for a mobile node, by rejecting any registrations that would cause its limit to be exceeded, and returning a Registration Reply with error code 135. Similarly, a home agent may refuse to grant service to mobile nodes which have entered unauthorized service areas by returning a Registration Reply with a Code of 129.

If the Reserved field is nonzero, it MUST deny the Request with a Code of 134.

#### 3.8.3. Sending Registration Replies

If the home agent accepts a Registration Request, it then MUST update its record of the mobile node's mobility binding(s) and SHOULD send a Registration Reply with a suitable Code. Otherwise (the home agent has denied the Request), it SHOULD send a Registration Reply with an appropriate Code specifying the reason the Request was denied. The following sections provide additional detail for the values the home agent MUST supply in the fields of Registration Reply messages.

## 3.8.3.1. IP/UDP Fields

This section provides the specific rules by which mobile nodes pick values for the IP and UDP header fields of a Registration Reply.

# IP Source Address

Copied from the IP Destination Address of Registration Request, unless a multicast or broadcast address was used. If the IP Destination Address of the Registration Request was a broadcast or multicast address, the IP Source Address of the Registration Reply MUST be set to the home agent's (unicast) IP address.

#### IP Destination Address

Copied from the IP Source Address of the Registration Request.

UDP Source Port

Copied from the UDP Destination Port of the Registration Request.

UDP Destination Port

Copied from the UDP Source Port of the Registration Request.

When sending a Registration Reply in response to a Registration Request that requested deregistration of the mobile node (the Lifetime is zero and the Care-of Address equals the mobile node's home address) and in which the IP Source Address was also set to the mobile node's home address (this is the normal method used by a mobile node to deregister when it returns to its home network), the IP Destination Address in the Registration Reply will be set to the mobile node's home address, as copied from the IP Source Address of the Request.

In this case, when transmitting the Registration Reply, the home agent MUST transmit the Reply directly onto the home network as if the mobile node were at home, bypassing any mobility binding list entry that may still exist at the home agent for the destination mobile node. In particular, for a mobile node returning home after being registered with a care-of address, if the mobile node's new Registration Request is not accepted by the home agent, the mobility binding list entry for the mobile node will still indicate that datagrams addressed to the mobile node should be tunneled to the mobile node's registered care-of address; when sending the Registration Reply indicating the rejection of this Request, this existing binding list entry MUST be ignored, and the home agent MUST transmit this Reply as if the mobile node were at home.

### 3.8.3.2. Registration Reply Fields

This section provides specific rules by which home agents pick values for the fields within the fixed portion of a Registration Reply. The Code field of the Registration Reply is chosen in accordance with the rules specified in the previous sections. When replying to an accepted registration, a home agent SHOULD respond with Code 1 if it does not support simultaneous registrations.

The Lifetime field MUST be copied from the corresponding field in the Registration Request, unless the requested value is greater than the maximum length of time the home agent is willing to provide the requested service. In such a case, the Lifetime MUST be set to the length of time that service will actually be provided by the home agent. This reduced Lifetime SHOULD be the maximum Lifetime allowed by the home agent (for this mobile node and care-of address).

The Home Address field MUST be copied from the corresponding field in the Registration Request.

If the Home Agent field in the Registration Request contains a unicast address of this home agent, then that field MUST be copied into the Home Agent field of the Registration Reply. Otherwise, the home agent MUST set the Home Agent field in the Registration Reply to its unicast address. In this latter case, the home agent MUST reject the registration with a suitable code (e.g., Code 136) to prevent the mobile node from possibly being simultaneously registered with two or more home agents.

### 3.8.3.3. Extensions

This section describes the ordering of any required and any optional Mobile IP Extensions that a home agent appends to a Registration Reply. The following ordering MUST be followed:

- The IP header, followed by the UDP header, followed by the a) fixed-length portion of the Registration Reply,
- If present, any non-authentication Extensions used by the mobile node (which may or may not also be used by the foreign agent),
- The Mobile-Home Authentication Extension, C)
- If present, any non-authentication Extensions used only by d) the foreign agent, and
- e) The Foreign-Home Authentication Extension, if present.

Note that items (a) and (c) MUST appear in every Registration Reply sent by the home agent. Items (b), (d), and (e) are optional. However, item (e) MUST be included when the home agent and the foreign agent share a mobility security association.

## 4. Routing Considerations

This section describes how mobile nodes, home agents, and (possibly) foreign agents cooperate to route datagrams to/from mobile nodes that are connected to a foreign network. The mobile node informs its home agent of its current location using the registration procedure described in Section 3. See the protocol overview in Section 1.7 for the relative locations of the mobile node's home address with respect to its home agent, and the mobile node itself with respect to any foreign agent with which it might attempt to register.

### 4.1. Encapsulation Types

Home agents and foreign agents MUST support tunneling datagrams using IP in IP encapsulation [14]. Any mobile node that uses a co-located care-of address MUST support receiving datagrams tunneled using IP in IP encapsulation. Minimal encapsulation [15] and GRE encapsulation [8] are alternate encapsulation methods which MAY optionally be supported by mobility agents and mobile nodes. The use of these alternative forms of encapsulation, when requested by the mobile node, is otherwise at the discretion of the home agent.

## 4.2. Unicast Datagram Routing

### 4.2.1. Mobile Node Considerations

When connected to its home network, a mobile node operates without the support of mobility services. That is, it operates in the same way as any other (fixed) host or router. The method by which a mobile node selects a default router when connected to its home network, or when away from home and using a co-located care-of address, is outside the scope of this document. ICMP Router Advertisement [4] is one such method.

When registered on a foreign network, the mobile node chooses a default router by the following rules:

- If the mobile node is registered using a foreign agent care-of address, then the mobile node MUST choose its default router from among the Router Addresses advertised in the ICMP Router Advertisement portion of that Agent Advertisement message. The mobile node MAY also consider the IP source address of the Agent Advertisement as another possible choice for the IP address of a default router, along with the (possibly empty) list of Router Addresses from the ICMP Router Advertisement portion of the message. In such cases, the IP source address MUST be considered to be the worst choice (lowest preference) for a default router.
- If the mobile node is registered directly with its home agent using a co-located care-of address, then the mobile node SHOULD choose its default router from among those advertised in any ICMP Router Advertisement message that it receives for which its externally obtained care-of address and the Router Address match under the network prefix. If the mobile node's externally obtained care-of address matches the IP source address of the Agent Advertisement under the network prefix, the mobile node MAY also consider that IP source address as another possible choice for the IP address of a default router, along with the (possibly empty) list of Router Addresses from the ICMP Router

Advertisement portion of the message. If so, the IP source address MUST be considered to be the worst choice (lowest preference) for a default router. The network prefix MAY be obtained from the Prefix-Lengths Extension in the Router Advertisement, if present. The prefix MAY also be obtained through other mechanisms beyond the scope of this document.

Beyond these rules, the actual selection of the default router is made by the selection method specified for ICMP Router Discovery [4], among the Router Addresses specified above. In any case, a mobile node registered via a foreign agent MAY choose its foreign agent as a default router.

Note that Van Jacobson header compression [10] will not function properly unless all TCP IP datagrams to and from the mobile node pass, respectively, through the same first and last-hop router. The mobile node, therefore, MUST select its foreign agent as its default router if it performs Van Jacobson header compression with its foreign agent.

#### 4.2.2. Foreign Agent Considerations

Upon receipt of an encapsulated datagram sent to its advertised care-of address, a foreign agent MUST compare the inner destination address to those entries in its visitor list. When the destination does not match the address of any mobile node currently in the visitor list, the foreign agent MUST NOT forward the datagram without modifications to the original IP header, because otherwise a routing loop is likely to result. The datagram SHOULD be silently discarded. ICMP Destination Unreachable MUST NOT be sent when a foreign agent is unable to forward an incoming tunneled datagram. Otherwise, the foreign agent forwards the decapsulated datagram to the mobile node.

The foreign agent MUST NOT advertise to other routers in its routing domain, nor to any other mobile node, the presence of a mobile router (Section 4.5).

The foreign agent MUST route datagrams it receives from registered mobile nodes. At a minimum, this means that the foreign agent must verify the IP Header Checksum, decrement the IP Time To Live, recompute the IP Header Checksum, and forward such datagrams to a default router. In addition, the foreign agent SHOULD send an appropriate ICMP Redirect message to the mobile node.

### 4.2.3. Home Agent Considerations

The home agent MUST be able to intercept any datagrams on the home network addressed to the mobile node while the mobile node is registered away from home. Proxy and gratuitous ARP MAY be used in enabling this interception, as specified in Section 4.6.

The home agent must examine the IP Destination Address of all arriving datagrams to see if it is equal to the home address of any of its mobile nodes registered away from home. If so, the home agent tunnels the datagram to the mobile node's currently registered careof address or addresses. If the home agent supports the optional capability of multiple simultaneous mobility bindings, it tunnels a copy to each care-of address in the mobile node's mobility binding list. If the mobile node has no current mobility bindings, the home agent MUST NOT attempt to intercept datagrams destined for the mobile node, and thus will not in general receive such datagrams. However, if the home agent is also a router handling common IP traffic, it is possible that it will receive such datagrams for forwarding onto the home network. In this case, the home agent MUST assume the mobile node is at home and simply forward the datagram directly onto the home network.

See Section 4.1 regarding methods of encapsulation that may be used for tunneling. Nodes implementing tunneling SHOULD also implement the "tunnel soft state" mechanism [14], which allows ICMP error messages returned from the tunnel to correctly be reflected back to the original senders of the tunneled datagrams.

Home agents SHOULD be able to decapsulate and further deliver packets addressed to themselves, sent by a mobile node for the purpose of maintaining location privacy, as described in Section 5.5.

If the Lifetime for a given mobility binding expires before the home agent has received another valid Registration Request for that mobile node, then that binding is deleted from the mobility binding list. The home agent MUST NOT send any Registration Reply message simply because the mobile node's binding has expired. The entry in the visitor list of the mobile node's current foreign agent will expire naturally, probably at the same time as the binding expired at the home agent. When a mobility binding's lifetime expires, the home agent MUST delete the binding, but it MUST retain any other (nonexpired) simultaneous mobility bindings that it holds for the mobile node.

When a home agent receives a datagram, intercepted for one of its mobile nodes registered away from home, the home agent MUST examine the datagram to check if it is already encapsulated. If so, special rules apply in the forwarding of that datagram to the mobile node:

- If the inner (encapsulated) Destination Address is the same as the outer Destination Address (the mobile node), then the home agent MUST also examine the outer Source Address of the encapsulated datagram (the source address of the tunnel). If this outer Source Address is the same as the mobile node's current care-of address, the home agent MUST silently discard that datagram in order to prevent a likely routing loop. If, instead, the outer Source Address is NOT the same as the mobile node's current care-of address, then the home agent SHOULD forward the datagram to the mobile node. In order to forward the datagram in this case, the home agent MAY simply alter the outer Destination Address to the care-of address, rather than re-encapsulating the datagram.
- Otherwise (the inner Destination Address is NOT the same as the outer Destination Address), the home agent SHOULD encapsulate the datagram again (recursive encapsulation), with the new outer Destination Address set equal to the mobile node's care-of address. That is, the home agent forwards the entire datagram to the mobile node in the same way as any other datagram (encapsulated already or not).

### 4.3. Broadcast Datagrams

When a home agent receives a broadcast datagram, it MUST NOT forward the datagram to any mobile nodes in its mobility binding list other than those that have requested forwarding of broadcast datagrams. A mobile node MAY request forwarding of broadcast datagrams by setting the 'B' bit in its Registration Request message (Section 3.3). For each such registered mobile node, the home agent SHOULD forward received broadcast datagrams to the mobile node, although it is a matter of configuration at the home agent as to which specific categories of broadcast datagrams will be forwarded to such mobile nodes.

If the 'D' bit was set in the mobile node's Registration Request message, indicating that the mobile node is using a co-located careof address, the home agent simply tunnels appropriate broadcast IP datagrams to the mobile node's care-of address. Otherwise (the 'D' bit was NOT set), the home agent first encapsulates the broadcast datagram in a unicast datagram addressed to the mobile node's home address, and then tunnels this encapsulated datagram to the foreign agent. This extra level of encapsulation is required so that the foreign agent can determine which mobile node should receive the datagram after it is decapsulated. When received by the foreign agent, the unicast encapsulated datagram is detunneled and delivered to the mobile node in the same way as any other datagram. In either case, the mobile node must decapsulate the datagram it receives in order to recover the original broadcast datagram.

## 4.4. Multicast Datagram Routing

As mentioned previously, a mobile node that is connected to its home network functions in the same way as any other (fixed) host or router. Thus, when it is at home, a mobile node functions identically to other multicast senders and receivers. This section therefore describes the behavior of a mobile node that is visiting a foreign network.

In order receive multicasts, a mobile node MUST join the multicast group in one of two ways. First, a mobile node MAY join the group via a (local) multicast router on the visited subnet. This option assumes that there is a multicast router present on the visited subnet. If the mobile node is using a co-located care-of address, it SHOULD use this address as the source IP address of its IGMP [5] messages. Otherwise, it MUST use its home address.

Alternatively, a mobile node which wishes to receive multicasts MAY join groups via a bi-directional tunnel to its home agent, assuming that its home agent is a multicast router. The mobile node tunnels IGMP messages to its home agent and the home agent forwards multicast datagrams down the tunnel to the mobile node. The rules for multicast datagram delivery to mobile nodes in this case are identical to those for broadcast datagrams (Section 4.3). Namely, if the mobile node is using a co-located care-of address (the 'D' bit was set in the mobile node's Registration Request), then the home agent SHOULD tunnel the datagram to this care-of address; otherwise, the home agent MUST first encapsulate the datagram in a unicast datagram addressed to the mobile node's home address and then MUST tunnel the resulting datagram (recursive tunneling) to the mobile node's care-of address.

A mobile node that wishes to send datagrams to a multicast group also has two options: (1) send directly on the visited network; or (2) send via a tunnel to its home agent. Because multicast routing in general depends upon the IP source address, a mobile node which sends multicast datagrams directly on the visited network MUST use a colocated care-of address as the IP source address. Similarly, a mobile node which tunnels a multicast datagram to its home agent MUST use its home address as the IP source address of both the (inner) multicast datagram and the (outer) encapsulating datagram. This second option assumes that the home agent is a multicast router.

#### 4.5. Mobile Routers

A mobile node can be a router, which is responsible for the mobility of one or more entire networks moving together, perhaps on an airplane, a ship, a train, an automobile, a bicycle, or a kayak. The nodes connected to a network served by the mobile router may themselves be fixed nodes or mobile nodes or routers. In this document, such networks are called "mobile networks".

A mobile router MAY act as a foreign agent and provide a foreign agent care-of address to mobile nodes connected to the mobile network. Typical routing to a mobile node via a mobile router in this case is illustrated by the following example:

- A laptop computer is disconnected from its home network and later attached to a network port in the seat back of an aircraft. The laptop computer uses Mobile IP to register on this foreign network, using a foreign agent care-of address discovered through an Agent Advertisement from the aircraft's foreign agent.
- The aircraft network is itself mobile. Suppose the node serving as the foreign agent on the aircraft also serves as the default router that connects the aircraft network to the rest of the Internet. When the aircraft is at home, this router is attached to some fixed network at the airline's headquarters, which is the router's home network. While the aircraft is in flight, this router registers from time to time over its radio link with a series of foreign agents below it on the ground. This router's home agent is a node on the fixed network at the airline's headquarters.
- C) Some correspondent node sends a datagram to the laptop computer, addressing the datagram to the laptop's home address. This datagram is initially routed to the laptop's home network.
- d) The laptop's home agent intercepts the datagram on the home network and tunnels it to the laptop's care-of address, which in this example is an address of the node serving as router and foreign agent on the aircraft. Normal IP routing will route the datagram to the fixed network at the airline's headquarters.

- The aircraft router and foreign agent's home agent there e) intercepts the datagram and tunnels it to its current care-of address, which in this example is some foreign agent on the ground below the aircraft. The original datagram from the correspondent node has now been encapsulated twice: once by the laptop's home agent and again by the aircraft's home agent.
- The foreign agent on the ground decapsulates the datagram, yielding a datagram still encapsulated by the laptop's home agent, with a destination address of the laptop's care-of address. The ground foreign agent sends the resulting datagram over its radio link to the aircraft.
- The foreign agent on the aircraft decapsulates the datagram, g) yielding the original datagram from the correspondent node, with a destination address of the laptop's home address. The aircraft foreign agent delivers the datagram over the aircraft network to the laptop's link-layer address.

This example illustrated the case in which a mobile node is attached to a mobile network. That is, the mobile node is mobile with respect to the network, which itself is also mobile (here with respect to the ground). If, instead, the node is fixed with respect to the mobile network (the mobile network is the fixed node's home network), then either of two methods may be used to cause datagrams from correspondent nodes to be routed to the fixed node.

A home agent MAY be configured to have a permanent registration for the fixed node, that indicates the mobile router's address as the fixed host's care-of address. The mobile router's home agent will usually be used for this purpose. The home agent is then responsible for advertising connectivity using normal routing protocols to the fixed node. Any datagrams sent to the fixed node will thus use recursive tunneling as described above.

Alternatively, the mobile router MAY advertise connectivity to the entire mobile network using normal IP routing protocols through a bi-directional tunnel to its own home agent. This method avoids the need for recursive tunneling of datagrams.

#### 4.6. ARP, Proxy ARP, and Gratuitous ARP

The use of ARP [16] requires special rules for correct operation when wireless or mobile nodes are involved. The requirements specified in this section apply to all home networks in which ARP is used for address resolution.

In addition to the normal use of ARP for resolving a target node's link-layer address from its IP address, this document distinguishes two special uses of ARP:

- A Proxy ARP [18] is an ARP Reply sent by one node on behalf of another node which is either unable or unwilling to answer its own ARP Requests. The sender of a Proxy ARP reverses the Sender and Target Protocol Address fields as described in [16], but supplies some configured link-layer address (generally, its own) in the Sender Hardware Address field. The node receiving the Reply will then associate this link-layer address with the IP address of the original target node, causing it to transmit future datagrams for this target node to the node with that link-layer address.
- A Gratuitous ARP [23] is an ARP packet sent by a node in order to spontaneously cause other nodes to update an entry in their ARP cache. A gratuitous ARP MAY use either an ARP Request or an ARP Reply packet. In either case, the ARP Sender Protocol Address and ARP Target Protocol Address are both set to the IP address of the cache entry to be updated, and the ARP Sender Hardware Address is set to the link-layer address to which this cache entry should be updated. When using an ARP Reply packet, the Target Hardware Address is also set to the link-layer address to which this cache entry should be updated (this field is not used in an ARP Request packet).

In either case, for a gratuitous ARP, the ARP packet MUST be transmitted as a local broadcast packet on the local link. As specified in [16], any node receiving any ARP packet (Request or Reply) MUST update its local ARP cache with the Sender Protocol and Hardware Addresses in the ARP packet, if the receiving node has an entry for that IP address already in its ARP cache. This requirement in the ARP protocol applies even for ARP Request packets, and for ARP Reply packets that do not match any ARP Request transmitted by the receiving node [16].

While a mobile node is registered on a foreign network, its home agent uses proxy ARP [18] to reply to ARP Requests it receives that seek the mobile node's link-layer address. When receiving an ARP Request, the home agent MUST examine the target IP address of the Request, and if this IP address matches the home address of any mobile node for which it has a registered mobility binding, the home agent MUST transmit an ARP Reply on behalf of the mobile node. After exchanging the sender and target addresses in the packet [18], the home agent MUST set the sender link-layer address in the packet to the link-layer address of its own interface over which the Reply will be sent.

When a mobile node leaves its home network and registers a binding on a foreign network, its home agent uses gratuitous ARP to update the ARP caches of nodes on the home network. This causes such nodes to associate the link-layer address of the home agent with the mobile node's home (IP) address. When registering a binding for a mobile node for which the home agent previously had no binding (the mobile node was assumed to be at home), the home agent MUST transmit a gratuitous ARP on behalf of the mobile node. This gratuitous ARP packet MUST be transmitted as a broadcast packet on the link on which the mobile node's home address is located. Since broadcasts on the local link (such as Ethernet) are typically not guaranteed to be reliable, the gratuitous ARP packet SHOULD be retransmitted a small number of times to increase its reliability.

When a mobile node returns to its home network, the mobile node and its home agent use gratuitous ARP to cause all nodes on the mobile node's home network to update their ARP caches to once again associate the mobile node's own link-layer address with the mobile node's home (IP) address. Before transmitting the (de)Registration Request message to its home agent, the mobile node MUST transmit this gratuitous ARP on its home network as a local broadcast on this link. The gratuitous ARP packet SHOULD be retransmitted a small number of times to increase its reliability, but these retransmissions SHOULD proceed in parallel with the transmission and processing of its (de)Registration Request.

When the mobile node's home agent receives and accepts this (de)Registration Request, the home agent MUST also transmit a gratuitous ARP on the mobile node's home network. This gratuitous ARP also is used to associate the mobile node's home address with the mobile node's own link-layer address. A gratuitous ARP is transmitted by both the mobile node and its home agent, since in the case of wireless network interfaces, the area within transmission range of the mobile node will likely differ from that within range of its its home agent. Th ARP packet from the home agent MUST be transmitted as a local broadcast on the mobile node's home link, and SHOULD be retransmitted a small number of times to increase its reliability; these retransmissions, however, SHOULD proceed in parallel with the transmission and processing of its (de)Registration Reply.

While the mobile node is away from home, it MUST NOT transmit any broadcast ARP Request or ARP Reply messages. Finally, while the mobile node is away from home, it MUST NOT reply to ARP Requests in which the target IP address is its own home address, unless the ARP Request is sent by a foreign agent with which the mobile node is attempting to register or a foreign agent with which the mobile node has an unexpired registration. In the latter case, the mobile

node MUST use a unicast ARP Reply to respond to the foreign agent. Note that if the mobile node is using a co-located care-of address and receives an ARP Request in which the target IP address is this care-of address, then the mobile node SHOULD reply to this ARP Request. Note also that, when transmitting a Registration Request on a foreign network, a mobile node may discover the link-layer address of a foreign agent by storing the address as it is received from the Agent Advertisement from that foreign agent, but not by transmitting a broadcast ARP Request message.

The specific order in which each of the above requirements for the use of ARP, proxy ARP, and gratuitous ARP are applied, relative to the transmission and processing of the mobile node's Registration Request and Registration Reply messages when leaving home or returning home, are important to the correct operation of the protocol.

To summarize the above requirements, when a mobile node leaves its home network, the following steps, in this order, MUST be performed:

- The mobile node decides to register away from home, perhaps because it has received an Agent Advertisement from a foreign agent and has not recently received one from its home agent.
- Before transmitting the Registration Request, the mobile node disables its own future processing of any ARP Requests it may subsequently receive requesting the link-layer address corresponding to its home address, except insofar as necessary to communicate with foreign agents on visited networks.
- The mobile node transmits its Registration Request.
- When the mobile node's home agent receives and accepts the Registration Request, it performs a gratuitous ARP on behalf of the mobile node, and begins using proxy ARP to reply to ARP Requests that it receives requesting the mobile node's link-layer address. If, instead, the home agent rejects the Registration Request, no ARP processing (gratuitous nor proxy) is performed by the home agent.

When a mobile node later returns to its home network, the following steps, in this order, MUST be performed:

- The mobile node decides to register at home, perhaps because it has received an Agent Advertisement from its home agent.

- Before transmitting the Registration Request, the mobile node re-enables its own future processing of any ARP Requests it may subsequently receive requesting its link-layer address.
- The mobile node performs a gratuitous ARP for itself.
- The mobile node transmits its Registration Request.
- When the mobile node's home agent receives and accepts the Registration Request, it stops using proxy ARP to reply to ARP Requests that it receives requesting the mobile node's link-layer address, and then performs a gratuitous ARP on behalf of the mobile node. If, instead, the home agent rejects the Registration Request, no ARP processing (gratuitous nor proxy) is performed by the home agent.

### 5. Security Considerations

The mobile computing environment is potentially very different from the ordinary computing environment. In many cases, mobile computers will be connected to the network via wireless links. Such links are particularly vulnerable to passive eavesdropping, active replay attacks, and other active attacks.

### 5.1. Message Authentication Codes

Home agents and mobile nodes MUST be able to perform authentication. The default algorithm is keyed MD5 [21], with a key size of 128 bits. The default mode of operation is to both precede and follow the data to be hashed, by the 128-bit key; that is, MD5 is to be used in "prefix+suffix" mode. The foreign agent MUST also support authentication using keyed MD5 and key sizes of 128 bits or greater, with manual key distribution. More authentication algorithms, algorithm modes, key distribution methods, and key sizes MAY also be supported.

### 5.2. Areas of Security Concern in this Protocol

The registration protocol described in this document will result in a mobile node's traffic being tunneled to its care-of address. This tunneling feature could be a significant vulnerability if the registration were not authenticated. Such remote redirection, for instance as performed by the mobile registration protocol, is widely understood to be a security problem in the current Internet if not authenticated [2]. Moreover, the Address Resolution Protocol (ARP) is not authenticated, and can potentially be used to steal another host's traffic. The use of "Gratuitous ARP" (Section 4.6) brings with it all of the risks associated with the use of ARP.

### 5.3. Key Management

This specification requires a strong authentication mechanism (keyed MD5) which precludes many potential attacks based on the Mobile IP registration protocol. However, because key distribution is difficult in the absence of a network key management protocol, messages with the foreign agent are not all required to be authenticated. In a commercial environment it might be important to authenticate all messages between the foreign agent and the home agent, so that billing is possible, and service providers do not provide service to users that are not legitimate customers of that service provider.

#### 5.4. Picking Good Random Numbers

The strength of any authentication mechanism depends on several factors, including the innate strength of the authentication algorithm, the secrecy of the key used, the strength of the key used, and the quality of the particular implementation. This specification requires implementation of keyed MD5 for authentication, but does not preclude the use of other authentication algorithms and modes. For keyed MD5 authentication to be useful, the 128-bit key must be both secret (that is, known only to authorized parties) and pseudo-random. If nonces are used in connection with replay protection, they must also be selected carefully. Eastlake, et al. [7] provides more information on generating pseudo-random numbers.

### 5.5. Privacy

Users who have sensitive data that they do not wish others to see should use mechanisms outside the scope of this document (such as encryption) to provide appropriate protection. Users concerned about traffic analysis should consider appropriate use of link encryption. If absolute location privacy is desired, the mobile node can create a tunnel to its home agent. Then, datagrams destined for correspondent nodes will appear to emanate from the home network, and it may be more difficult to pinpoint the location of the mobile node. Such mechanisms are all beyond the scope of this document.

## 5.6. Replay Protection for Registration Requests

The Identification field is used to let the home agent verify that a registration message has been freshly generated by the mobile node, not replayed by an attacker from some previous registration. Two methods are described in this section: timestamps (mandatory) and "nonces" (optional). All mobile nodes and home agents MUST implement timestamp-based replay protection. These nodes MAY also implement nonce-based replay protection (but see Appendix A.2 for a patent that may apply to nonce-based replay protection).

The style of replay protection in effect between a mobile node and its home agent is part of the mobile security association. A mobile node and its home agent MUST agree on which method of replay protection will be used. The interpretation of the Identification field depends on the method of replay protection as described in the subsequent subsections.

Whatever method is used, the low-order 32 bits of the Identification MUST be copied unchanged from the Registration Request to the Reply. The foreign agent uses those bits (and the mobile node's home address) to match Registration Requests with corresponding replies. The mobile node MUST verify that the low-order 32 bits of any Registration Reply are identical to the bits it sent in the Registration Request.

The Identification in a new Registration Request MUST NOT be the same as in an immediately preceding Request, and SHOULD NOT repeat while the same security context is being used between the mobile node and the home agent. Retransmission as in Section 3.6.3 is allowed.

### 5.6.1. Replay Protection using Timestamps

The basic principle of timestamp replay protection is that the node generating a message inserts the current time of day, and the node receiving the message checks that this timestamp is sufficiently close to its own time of day. Obviously the two nodes must have adequately synchronized time-of-day clocks. As with any messages, time synchronization messages may be protected against tampering by an authentication mechanism determined by the security context between the two nodes.

If timestamps are used, the mobile node MUST set the Identification field to a 64-bit value formatted as specified by the Network Time Protocol [13]. The low-order 32 bits of the NTP format represent fractional seconds, and those bits which are not available from a time source SHOULD be generated from a good source of randomness. Note, however, that when using timestamps, the 64-bit Identification used in a Registration Request from the mobile node MUST be greater than that used in any previous Registration Request, as the home agent uses this field also as a sequence number. Without such a sequence number, it would be possible for a delayed duplicate of an earlier Registration Request to arrive at the home agent (within the clock synchronization required by the home agent), and thus be applied out of order, mistakenly altering the mobile node's current registered care-of address.

Upon receipt of a Registration Request with a valid Mobile-Home Authentication Extension, the home agent MUST check the Identification field for validity. In order to be valid, the timestamp contained in the Identification field MUST be close enough to the home agent's time of day clock and the timestamp MUST be greater than all previously accepted timestamps for the requesting mobile node. Time tolerances and resynchronization details are specific to a particular mobility security association.

If the timestamp is valid, the home agent copies the entire Identification field into the Registration Reply it returns the Reply to the mobile node. If the timestamp is not valid, the home agent copies only the low-order 32 bits into the Registration Reply, and supplies the high-order 32 bits from its own time of day. In this latter case, the home agent MUST reject the registration by returning Code 133 (identification mismatch) in the Registration Reply.

As described in Section 3.6.2.1, the mobile node MUST verify that the low-order 32 bits of the Identification in the Registration Reply are identical to those in the rejected registration attempt, before using the high-order bits for clock resynchronization.

### 5.6.2. Replay Protection using Nonces

Implementors of this optional mechanism should examine Appendix A.2 for a patent that may be applicable to nonce-based replay protection.

The basic principle of nonce replay protection is that node A includes a new random number in every message to node B, and checks that node B returns that same number in its next message to node A. Both messages use an authentication code to protect against alteration by an attacker. At the same time node B can send its own nonces in all messages to node A (to be echoed by node A), so that it too can verify that it is receiving fresh messages.

The home agent may be expected to have resources for computing pseudo-random numbers useful as nonces [7]. It inserts a new nonce as the high-order 32 bits of the identification field of every Registration Reply. The home agent copies the low-order 32 bits of the Identification from the Registration Request message into the low-order 32 bits of the Identification in the Registration Reply. When the mobile node receives an authenticated Registration Reply from the home agent, it saves the high-order 32 bits of the identification for use as the high-order 32 bits of its next Registration Request.

The mobile node is responsible for generating the low-order 32 bits of the Identification in each Registration Request. Ideally it should generate its own random nonces. However it may use any expedient method, including duplication of the random value sent by the home agent. The method chosen is of concern only to the mobile node, because it is the node that checks for valid values in the Registration Reply. The high-order and low-order 32 bits of the identification chosen SHOULD both differ from their previous values. The home agent uses a new high-order value and the mobile node uses a new low-order value for each registration message. The foreign agent uses the low-order value (and the mobile host's home address) to correctly match registration replies with pending Requests (Section 3.7.1).

If a registration message is rejected because of an invalid nonce, the Reply always provides the mobile node with a new nonce to be used in the next registration. Thus the nonce protocol is selfsynchronizing.

# 6. Acknowledgments

Special thanks to Steve Deering (Xerox PARC), along with Dan Duchamp and John Ioannidis (JI) (Columbia), for forming the working group, chairing it, and putting so much effort into its early development.

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Thanks to the active members of the Mobile IP Working Group, particularly those who contributed text, including (in alphabetical order)

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#### A. Patent Issues

As of the time of publication, the IETF had been made aware of two patents that may be relevant to implementors of the protocol described in this technical specification.

# A.1. IBM Patent #5,159,592

Charles Perkins, editor of this memo, is sole inventor of U.S. Patent No. 5,159,592, assigned to IBM. In a letter dated May 30, 1995, IBM brought this patent to the attention of the IETF, stating that this patent "relates to the Mobile IP." We understand that IBM did not intend to assert that any particular implementation of Mobile IP would or would not infringe the patent, but rather that IBM was meeting what it viewed as a duty to disclose information that could be relevant to the process of adopting a standard.

Based on a review of the claims of the patent, IETF believes that a system of registering an address obtained from a foreign agent, as described in the document, would not necessarily infringe any of the claims of the patent; and that a system in which an address is obtained elsewhere and then registered can be implemented without necessarily infringing any claims of the patent. Accordingly, our view is that the proposed protocol can be implemented without necessarily infringing the Perkins Patent.

Parties considering adopting this protocol must be aware that some specific implementations, or features added to otherwise noninfringing implementations, may raise an issue of infringement with respect to this patent or to some other patent.

This statement is for the IETF's assistance in its standard-setting procedure, and should not be relied upon by any party as an opinion or guarantee that any implementation it might make or use would not be covered by the Perkins Patent and any other patents. In particular, IBM might disagree with the interpretation of this patent described herein.

# A.2. IBM Patent #5,148,479

This patent, also assigned to IBM, may be relevant to those who implement nonce-based replay protection as described in Section 5.6.2. Note that nonce-based replay protection is an optional feature of this specification. Timestamp-based replay protection, on the other hand, (Section 5.6.1) is a requirement of this specification.

# B. Link-Layer Considerations

The mobile node MAY use link-layer mechanisms to decide that its point of attachment has changed. Such indications include the Down/Testing/Up interface status [11], and changes in cell or administration. The mechanisms will be specific to the particular link-layer technology, and are outside the scope of this document.

The Point-to-Point-Protocol (PPP) [22] and its Internet Protocol Control Protocol (IPCP) [12], negotiates the use of IP addresses.

The mobile node SHOULD first attempt to specify its home address, so that if the mobile node is attaching to its home network, the unrouted link will function correctly. When the home address is not accepted by the peer, but a transient IP address is dynamically assigned to the mobile node, and the mobile node is capable of supporting a co-located care-of address, the mobile node MAY register that address as a co-located care-of address. When the peer specifies its own IP address, that address MUST NOT be assumed to be a foreign agent care-of address or the IP address of a home agent.

#### C. TCP Considerations

#### C.1. TCP Timers

Most hosts and routers which implement TCP/IP do not permit easy configuration of the TCP timer values. When high-delay (e.g., SATCOM) or low-bandwidth (e.g., High-Frequency Radio) links are in use, the default TCP timer values in many systems may cause retransmissions or timeouts, even when the link and network are actually operating properly with greater than usual delays because of the medium in use. This can cause an inability to create or maintain TCP connections over such links, and can also cause unneeded retransmissions which consume already scarce bandwidth. Vendors are encouraged to make TCP timers more configurable. Vendors of systems designed for the mobile computing markets should pick default timer values more suited to low-bandwidth, high-delay links. Users of mobile nodes should be sensitive to the possibility of timer-related difficulties.

# C.2. TCP Congestion Management

Mobile nodes often use media which are more likely to introduce errors, effectively causing more packets to be dropped. This introduces a conflict with the mechanisms for congestion management found in modern versions of TCP [9]. Now, when a packet is dropped, the correspondent node's TCP implementation is likely to react as if there were a source of network congestion, and initiate the slowstart mechanisms [9] designed for controlling that problem. However, those mechanisms are inappropriate for overcoming errors introduced by the links themselves, and have the effect of magnifying the discontinuity introduced by the dropped packet. This problem has been analyzed by Caceres, et al. [3]; there is no easy solution available, and certainly no solution likely to be installed soon on all correspondent nodes. While this problem is beyond the scope of this document, it does illustrate that providing performance transparency to mobile nodes involves understanding mechanisms outside the network layer. It also indicates the need to avoid designs which systematically drop packets; such designs might otherwise be considered favorably when making engineering tradeoffs.

### D. Example Scenarios

This section shows example Registration Requests for several common scenarios.

# D.1. Registering with a Foreign Agent Care-of Address

The mobile node receives an Agent Advertisement from a foreign agent and wishes to register with that agent using the advertised foreign agent care-of address. The mobile node wishes only IP-in-IP encapsulation, does not want broadcasts, and does not want simultaneous mobility bindings:

```
IP fields:
  Source Address = mobile node's home address
  Destination Address = copied from the IP source address of the
    Agent Advertisement
  Time to Live = 1
UDP fields:
  Source Port = <any>
  Destination Port = 434
Registration Request fields:
  Type = 1
  S=0, B=0, D=0, M=0, G=0
  Lifetime = the Registration Lifetime copied from the
    Mobility Agent Advertisement Extension of the
    Router Advertisement message
  Home Address = the mobile node's home address
  Home Agent = IP address of mobile node's home agent
  Care-of Address = the Care-of Address copied from the
    Mobility Agent Advertisement Extension of the
    Router Advertisement message
  Identification = Network Time Protocol timestamp or Nonce
Extensions:
  The Mobile-Home Authentication Extension
```

# D.2. Registering with a Co-Located Care-of Address

The mobile node enters a foreign network that contains no foreign agents. The mobile node obtains an address from a DHCP server [6] for use as a co-located care-of address. The mobile node supports all forms of encapsulation (IP-in-IP, minimal encapsulation, and GRE), desires a copy of broadcast datagrams on the home network, and does not want simultaneous mobility bindings:

# IP fields: Source Address = care-of address obtained from DHCP server Destination Address = IP address of home agent Time to Live = 64UDP fields: Source Port = <any> Destination Port = 434 Registration Request fields: Type = 1S=0, B=1, D=1, M=1, G=1Lifetime = 1800 (seconds) Home Address = the mobile node's home address Home Agent = IP address of mobile node's home agent Care-of Address = care-of address obtained from DHCP server Identification = Network Time Protocol timestamp or Nonce Extensions: The Mobile-Home Authentication Extension

# D.3. Deregistration

The mobile node returns home and wishes to deregister all care-of addresses with its home agent.

```
IP fields:
  Source Address = mobile node's home address
  Destination Address = IP address of home agent
  Time to Live = 1
UDP fields:
 Source Port = <any>
  Destination Port = 434
Registration Request fields:
  Type = 1
  S=0, B=0, D=0, M=0, G=0
  Lifetime = 0
  Home Address = the mobile node's home address
  Home Agent = IP address of mobile node's home agent
  Care-of Address = the mobile node's home address
  Identification = Network Time Protocol timestamp or Nonce
Extensions:
  The Mobile-Home Authentication Extension
```

# E. Applicability of Prefix Lengths Extension

Caution is indicated with the use of the Prefix Lengths Extension over wireless links, due to the irregular coverage areas provided by wireless transmitters. As a result, it is possible that two foreign agents advertising the same prefix might indeed provide different connectivity to prospective mobile nodes. The Prefix-Lengths Extension SHOULD NOT be included in the advertisements sent by agents in such a configuration.

Foreign agents using different wireless interfaces would have to cooperate using special protocols to provide identical coverage in space, and thus be able to claim to have wireless interfaces situated on the same subnetwork. In the case of wired interfaces, a mobile node disconnecting and subsequently connecting to a new point of attachment, may well send in a new Registration Request no matter whether the new advertisement is on the same medium as the last recorded advertisement. And, finally, in areas with dense populations of foreign agents it would seem unwise to require the propagation via routing protocols of the subnet prefixes associated with each individual wireless foreign agent; such a strategy could lead to quick depletion of available space for routing tables, unwarranted increases in the time required for processing routing updates, and longer decision times for route selection if routes (which are almost always unnecessary) are stored for wireless 'subnets".

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# DECLARATION OF SANDY GINOZA FOR IETF RFC 2977: (MOBILE IP AUTHETICATION, AUTHORIZATION, AND ACCOUNTING REQUIREMENTS)

- I, Sandy Ginoza, hereby declare that all statements made herein are of my own knowledge and are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code:
- 1. I am an employee of Association Management Solutions, LLC (AMS), which acts under contract to the IETF Administration LLC (IETF) as the operator of the RFC Production Center. The RFC Production Center is part of the "RFC Editor" function, which prepares documents for publication and places files in an online repository for the authoritative Request for Comments (RFC) series of documents (RFC Series), and preserves records relating to these documents. The RFC Series includes, among other things, the series of Internet standards developed by the IETF. I hold the position of Director of the RFC Production Center. I began employment with AMS in this capacity on 6 January 2010.
- 2. Among my responsibilities as Director of the RFC Production Center, I act as the custodian of records relating to the RFC Series, and I am familiar with the record keeping practices relating to the RFC Series, including the creation and maintenance of such records.
- 3. From June 1999 to 5 January 2010, I was an employee of the Information Sciences Institute at University of Southern California (ISI). I held various position titles with the RFC Editor project at ISI, ending with Senior Editor.
- 4. The RFC Editor function was conducted by ISI under contract to the United States government prior to 1998. In 1998, ISOC, in furtherance of its IETF activity, entered into

the first in a series of contracts with ISI providing for ISI's performance of the RFC Editor function. Beginning in 2010, certain aspects of the RFC Editor function were assumed by the RFC Production Center operation of AMS under contract to ISOC (acting through its IETF function and, in particular, the IETF Administrative Oversight Committee (now the IETF Administration LLC (IETF)). The business records of the RFC Editor function as it was conducted by ISI are currently housed on the computer systems of AMS, as contractor to the IETF.

- 5. I make this declaration based on my personal knowledge and information contained in the business records of the RFC Editor as they are currently housed at AMS, or confirmation with other responsible RFC Editor personnel with such knowledge.
- 6. Prior to 1998, the RFC Editor's regular practice was to publish RFCs, making them available from a repository via FTP. When a new RFC was published, an announcement of its publication, with information on how to access the RFC, would be typically sent out within 24 hours of the publication.
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- 11. Based on the business records for the RFC Editor and the RFC Editor's course of conduct in publishing RFCs, I have determined that the publication date of RFC 2977 was no later than October 2000, at which time it was reasonably accessible to the public either on the RFC Editor website or via FTP from a repository. An announcement of its publication also would have been sent out to subscribers within 24 hours of its publication. A copy of that RFC is attached to this declaration as an exhibit.

Pursuant to Section 1746 of Title 28 of United States Code, I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct and that the foregoing is based upon personal knowledge and information and is believed to be true.

Date:	 June	2020	By:	
			Sandy Ginoza	

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Network Working Group Request for Comments: 2977 Category: Informational S. Glass
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October 2000

Mobile IP Authentication, Authorization, and Accounting Requirements

#### Status of this Memo

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#### Abstract

The Mobile IP and Authentication, Authorization, Accounting (AAA) working groups are currently looking at defining the requirements for Authentication, Authorization, and Accounting. This document contains the requirements which would have to be supported by a AAA service to aid in providing Mobile IP services.

# 1. Introduction

Clients obtain Internet services by negotiating a point of attachment to a "home domain", generally from an ISP, or other organization from which service requests are made, and fulfilled. With the increasing popularity of mobile devices, a need has been generated to allow users to attach to any domain convenient to their current location. In this way, a client needs access to resources being provided by an administrative domain different than their home domain (called a "foreign domain"). The need for service from a foreign domain requires, in many models, Authorization, which leads directly to Authentication, and of course Accounting (whence, "AAA"). There is some argument which of these leads to, or is derived from the others, but there is common agreement that the three AAA functions are closely interdependent.

Glass, et al. Informational [Page 1]

An agent in a foreign domain, being called on to provide access to a resource by a mobile user, is likely to request or require the client to provide credentials which can be authenticated before access to resources is permitted. The resource may be as simple as a conduit to the Internet, or may be as complex as access to specific private resources within the foreign domain. Credentials can be exchanged in many different ways, all of which are beyond the scope of this document. Once authenticated, the mobile user may be authorized to access services within the foreign domain. An accounting of the actual resources may then be assembled.

Mobile IP is a technology that allows a network node ("mobile node") to migrate from its "home" network to other networks, either within the same administrative domain, or to other administrative domains. The possibility of movement between domains which require AAA services has created an immediate demand to design and specify AAA protocols. Once available, the AAA protocols and infrastructure will provide the economic incentive for a wide-ranging deployment of Mobile IP. This document will identify, describe, and discuss the functional and performance requirements that Mobile IP places on AAA protocols.

The formal description of Mobile IP can be found in [13,12,14,17].

In this document, we have attempted to exhibit requirements in a progressive fashion. After showing the basic AAA model for Mobile IP, we derive requirements as follows:

- requirements based on the general model
- requirements based on providing IP service for mobile nodes
- requirements derived from specific Mobile IP protocol needs

Then, we exhibit some related AAA models and describe requirements derived from the related models.

# 2. Terminology

This document frequently uses the following terms in addition to those defined in RFC 2002 [13]:

Accounting The act of collecting information on resource usage for the purpose of trend analysis, auditing, billing, or cost allocation.

#### Administrative Domain

An intranet, or a collection of networks, computers, and databases under a common administration. Computer entities operating in a common administration may be assumed to share administratively created security associations.

A node designed to provide the service interface Attendant between a client and the local domain.

#### Authentication

The act of verifying a claimed identity, in the form of a pre-existing label from a mutually known name space, as the originator of a message (message authentication) or as the end-point of a channel (entity authentication).

#### Authorization

The act of determining if a particular right, such as access to some resource, can be granted to the presenter of a particular credential.

Billing The act of preparing an invoice.

Broker

An intermediary agent, trusted by two other AAA servers, able to obtain and provide security services from those AAA servers. For instance, a broker may obtain and provide authorizations, or assurances that credentials are valid.

Client

A node wishing to obtain service from an attendant within an administrative domain.

# Foreign Domain

An administrative domain, visited by a Mobile IP client, and containing the AAA infrastructure needed to carry out the necessary operations enabling Mobile IP registrations. From the point of view of the foreign agent, the foreign domain is the local domain.

#### Inter-domain Accounting

Inter-domain accounting is the collection of information on resource usage of an entity with an administrative domain, for use within another administrative domain. In inter-domain accounting, accounting packets and session records will typically cross administrative boundaries.

# Intra-domain Accounting

Intra-domain accounting is the collection of information on resource within an administrative domain, for use within that domain. In intra-domain accounting, accounting packets and session records typically do not cross administrative boundaries.

#### Local Domain

An administrative domain containing the AAA infrastructure of immediate interest to a Mobile IP client when it is away from home.

#### Real-time Accounting

Real-time accounting involves the processing of information on resource usage within a defined time window. Time constraints are typically imposed in order to limit financial risk.

#### Session record

A session record represents a summary of the resource consumption of a user over the entire session. Accounting gateways creating the session record may do so by processing interim accounting events.

In this document, the key words "MAY", "MUST, "MUST NOT", "optional", "recommended", "SHOULD", and "SHOULD NOT", are to be interpreted as described in [4].

#### 3. Basic Model

In this section, we attempt to capture the main features of a basic model for operation of AAA servers that seems to have good support within the Mobile IP working group. Within the Internet, a client belonging to one administrative domain (called the home domain) often needs to use resources provided by another administrative domain (called the foreign domain). An agent in the foreign domain that attends to the client's request (call the agent the "attendant") is likely to require that the client provide some credentials that can be authenticated before access to the resources is permitted. These credentials may be something the foreign domain understands, but in most cases they are assigned by, and understood only by the home domain, and may be used for setting up secure channels with the mobile node.

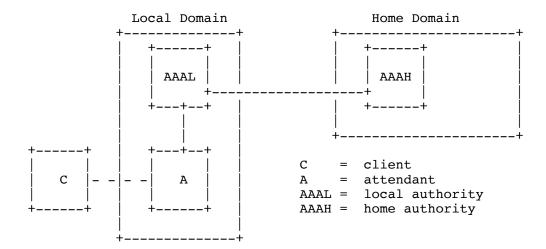


Figure 1: AAA Servers in Home and Local Domains

The attendant often does not have direct access to the data needed to complete the transaction. Instead, the attendant is expected to consult an authority (typically in the same foreign domain) in order to request proof that the client has acceptable credentials. Since the attendant and the local authority are part of the same administrative domain, they are expected to have established, or be able to establish for the necessary lifetime, a secure channel for the purposes of exchanging sensitive (access) information, and keeping it private from (at least) the visiting mobile node.

The local authority (AAAL) itself may not have enough information stored locally to carry out the verification for the credentials of the client. In contrast to the attendant, however, the AAAL is expected to be configured with enough information to negotiate the verification of client credentials with external authorities. The local and the external authorities should be configured with sufficient security relationships and access controls so that they, possibly without the need for any other AAA agents, can negotiate the authorization that may enable the client to have access to any/all requested resources. In many typical cases, the authorization depends only upon secure authentication of the client's credentials.

Once the authorization has been obtained by the local authority, and the authority has notified the attendant about the successful negotiation, the attendant can provide the requested resources to the client.

In the picture, there might be many attendants for each AAAL, and there might be many clients from many different Home Domains. Each Home Domain provides a AAAH that can check credentials originating from clients administered by that Home Domain.

There is a security model implicit in the above figure, and it is crucial to identify the specific security associations assumed in the security model.

First, it is natural to assume that the client has a security association with the AAAH, since that is roughly what it means for the client to belong to the home domain.

Second, from the model illustrated in figure 1 it is clear that AAAL and AAAH have to share a security association, because otherwise they could not rely on the authentication results, authorizations, nor even the accounting data which might be transacted between them. Requiring such bilateral security relationships is, however, in the end not scalable; the AAA framework MUST provide for more scalable mechanisms, as suggested below in section 6.

Finally, in the figure, it is clear that the attendant can naturally share a security association with the AAAL. This is necessary in order for the model to work because the attendant has to know that it is permissible to allocate the local resources to the client.

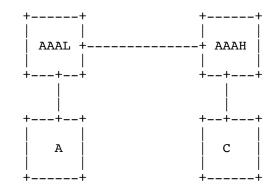
As an example in today's Internet, we can cite the deployment of RADIUS [16] to allow mobile computer clients to have access to the Internet by way of a local ISP. The ISP wants to make sure that the mobile client can pay for the connection. Once the client has provided credentials (e.g., identification, unique data, and an unforgeable signature), the ISP checks with the client's home authority to verify the signature, and to obtain assurance that the client will pay for the connection. Here, the attendant function can be carried out by the NAS, and the local and home authorities can use RADIUS servers. Credentials allowing authorization at one attendant SHOULD be unusable in any future negotiations at the same or any other attendant.

From the description and example above, we can identify several requirements.

- Each local attendant has to have a security relationship with the local AAA server (AAAL)
- The local authority has to share, or dynamically establish, security relationships with external authorities that are able to check client credentials

- The attendant has to keep state for pending client requests while the local authority contacts the appropriate external authority
- Since the mobile node may not necessarily initiate network connectivity from within its home domain, it MUST be able to provide complete, yet unforgeable credentials without ever having been in touch with its home domain.
- Since the mobile node's credentials have to remain unforgeable, intervening nodes (e.g., neither the attendant or the local authority (AAAL) or any other intermediate nodes) MUST NOT be able to learn any (secret) information which may enable them to reconstruct and reuse the credentials.

From this last requirement, we can see the reasons for the natural requirement that the client has to share, or dynamically establish, a security relationship with the external authority in the Home Domain. Otherwise, it is technically infeasible (given the implied network topology) for the client to produce unforgeable signatures that can be checked by the AAAH. Figure 2 illustrates the natural security associations we understand from our proposed model. Note that, according to the discussion in section 6, there may, by mutual agreement between AAAL and AAAH, be a third party inserted between AAAL and AAAH to help them arbitrate secure transactions in a more scalable fashion.



C = client A = attendant

AAAL = local authority AAAH = home authority

Figure 2: Security Associations

In addition to the requirements listed above, we specify the following requirements which derive from operational experience with today's roaming protocols.

- There are scenarios in which an attendant will have to manage requests for many clients at the same time.
- The attendant MUST protect against replay attacks.

- The attendant equipment should be as inexpensive as possible, since it will be replicated as many times as possible to handle as many clients as possible in the foreign domain.
- Attendants SHOULD be configured to obtain authorization, from a trusted local AAA server (AAAL) for Quality of Service requirements placed by the client.

Nodes in two separate administrative domains (for instance, AAAH and AAAL) often must take additional steps to verify the identity of their communication partners, or alternatively to guarantee the privacy of the data making up the communication. While these considerations lead to important security requirements, as mentioned above in the context of security between servers, we consider the exact choice of security associations between the AAA servers to be beyond the scope of this document. The choices are unlikely even to depend upon any specific features of the general model illustrated in figure 1. On the other hand, the security associations needed between Mobile IP entities will be of central importance in the design of a suitable AAA infrastructure for Mobile IP. The general model shown above is generally compatible with the needs of Mobile IP. However, some basic changes are needed in the security model of Mobile IP, as detailed in section 5.

Lastly, recent discussion in the mobile-ip working group has indicated that the attendant MUST be able to terminate service to the client based on policy determination by either AAAH or AAAL server.

# 3.1. AAA Protocol Roaming Requirements

In this section we will detail additional requirements based on issues discovered through operational experience of existing roaming RADIUS networks. The AAA protocol MUST satisfy these requirements in order for providers to offer a robust service. These requirements have been identified by TR45.6 as part of their involvement with the Mobile IP working group.

- Support a reliable AAA transport mechanism.
  - \* There must be an effective hop-by-hop retransmission and failover mechanism so that reliability does not solely depend on end-to-end retransmission
  - \* This transport mechanism will be able indicate to an AAA application that a message was delivered to the next peer AAA application or that a time out occurred.
  - \* Retransmission is controlled by the reliable AAA transport mechanism, and not by lower layer protocols such as TCP.

- \* Even if the AAA message is to be forwarded, or the message's options or semantics do not conform with the AAA protocol, the transport mechanism will acknowledge that the peer received the AAA message.
- \* Acknowledgements SHOULD be allowed to be piggybacked in AAA messages
- \* AAA responses have to be delivered in a timely fashion so that Mobile IP does not timeout and retransmit
- Transport a digital certificate in an AAA message, in order to minimize the number of round trips associated with AAA transactions. Note: This requirement applies to AAA applications and not mobile stations. The certificates could be used by foreign and home agents to establish an IPSec security association to secure the mobile node's tunneled data. In this case, the AAA infrastructure could assist by obtaining the revocation status of such a certificate (either by performing online checks or otherwise validating the certificate) so that home and foreign agents could avoid a costly online certificate status check.
- Provide message integrity and identity authentication on a hopby-hop (AAA node) basis.
- Support replay protection and optional non-repudiation capabilities for all authorization and accounting messages. AAA protocol must provide the capability for accounting messages to be matched with prior authorization messages.
- Support accounting via both bilateral arrangements and via broker AAA servers providing accounting clearinghouse and reconciliation between serving and home networks. There is an explicit agreement that if the private network or home ISP authenticates the mobile station requesting service, then the private network or home ISP network also agrees to reconcile charges with the home service provider or broker. Real time accounting must be supported. Timestamps must be included in all accounting packets.

# 4. Requirements related to basic IP connectivity

The requirements listed in the previous section pertain to the relationships between the functional units, and don't depend on the underlying network addressing. On the other hand, many nodes (mobile or merely portable) are programmed to receive some IP-specific resources during the initialization phase of their attempt to connect to the Internet.

We place the following additional requirements on the AAA services in order to satisfy such clients.

- Either AAA server MUST be able to obtain, or to coordinate the allocation of, a suitable IP address for the customer, upon request by the customer.

- AAA servers MUST be able to identify the client by some means other than its IP address.

Policy in the home domain may dictate that the home agent instead of the AAAH manages the allocation of an IP address for the mobile node. AAA servers MUST be able to coordinate the allocation of an IP address for the mobile node at least in this way.

AAA servers today identify clients by using the Network Access Identifier (NAI) [1]. A mobile node can identify itself by including the NAI along with the Mobile IP Registration Request [6]. The NAI is of the form "user@realm"; it is unique and well suited for use in the AAA model illustrated in figure 1. Using a NAI (e.g., "user@realm") allows AAAL to easily determine the home domain (e.g., "realm") for the client. Both the AAAL and the AAAH can use the NAI to keep records indexed by the client's specific identity.

# 5. AAA for Mobile IP

Clients using Mobile IP require specific features from the AAA services, in addition to the requirements already mentioned in connection with the basic AAA functionality and what is needed for IP connectivity. To understand the application of the general model for Mobile IP, we consider the mobile node (MN) to be the client in figure 1, and the attendant to be the foreign agent (FA). If a situation arises that there is no foreign agent present, e.g., in the case of an IPv4 mobile node with a co-located care of address or an IPv6 mobile node, the equivalent attendant functionality is to be provided by the address allocation entity, e.g., a DHCP server. Such an attendant functionality is outside the scope of this document. The home agent, while important to Mobile IP, is allowed to play a role during the initial registration that is subordinate to the role played by the AAAH. For application to Mobile IP, we modify the general model (as illustrated in figure 3). After the initial registration, the mobile node is authorized to continue using Mobile IP at the foreign domain without requiring further involvement by the AAA servers. Thus, the initial registration will probably take longer than subsequent Mobile IP registrations.

In order to reduce this extra time overhead as much as possible, it is important to reduce the time taken for communications between the AAA servers. A major component of this communications latency is the time taken to traverse the wide-area Internet that is likely to separate the AAAL and the AAAH. This leads to a further strong motivation for integration of the AAA functions themselves, as well as integration of AAA functions with the initial Mobile IP registration. In order to reduce the number of messages that traverse the network for initial registration of a Mobile Node, the

AAA functions in the visited network (AAAL) and the home network (AAAH) need to interface with the foreign agent and the home agent to handle the registration message. Latency would be reduced as a result of initial registration being handled in conjunction with AAA and the mobile IP mobility agents. Subsequent registrations, however, would be handled according to RFC 2002 [13]. Another way to reduce latency as to accounting would be the exchange of small records.

As there are many different types of sub-services attendants may provide to mobile clients, there MUST be extensible accounting formats. In this way, the specific services being provided can be identified, as well as accounting support should more services be identified in the future.

The AAA home domain and the HA home domain of the mobile node need not be part of the same administrative domain. Such an situation can occur if the home address of the mobile node is provided by one domain, e.g., an ISP that the mobile user uses while at home, and the authorization and accounting by another (specialized) domain, e.g., a credit card company. The foreign agent sends only the authentication information of the mobile node to the AAAL, which interfaces to the AAAH. After a successful authorization of the mobile node, the foreign agent is able to continue with the mobile IP registration procedure. Such a scheme introduces more delay if the access to the AAA functionality and the mobile IP protocol is sequentialized. Subsequent registrations would be handled according to RFC 2002 [13] without further interaction with the AAA. Whether to combine or separate the Mobile IP protocol data with/from the AAA messages is ultimately a policy decision. A separation of the Mobile IP protocol data and the AAA messages can be successfully accomplished only if the IP address of the mobile node's home agent is provided to the foreign agent performing the attendant function.

All needed AAA and Mobile IP functions SHOULD be processed during a single Internet traversal. This MUST be done without requiring AAA servers to process protocol messages sent to Mobile IP agents. The AAA servers MUST identify the Mobile IP agents and security associations necessary to process the Mobile IP registration, pass the necessary registration data to those Mobile IP agents, and remain uninvolved in the routing and authentication processing steps particular to Mobile IP registration.

For Mobile IP, the AAAL and the AAAH servers have the following additional general tasks:

- enable [re]authentication for Mobile IP registration

- authorize the mobile node (once its identity has been established) to use at least the set of resources for minimal Mobile IP functionality, plus potentially other services requested by the mobile node
- initiate accounting for service utilization
- use AAA protocol extensions specifically for including Mobile IP registration messages as part of the initial registration sequence to be handled by the AAA servers.

These tasks, and the resulting more specific tasks to be listed later in this section, are beneficially handled and expedited by the AAA servers shown in figure 1 because the tasks often happen together, and task processing needs access to the same data at the same time.

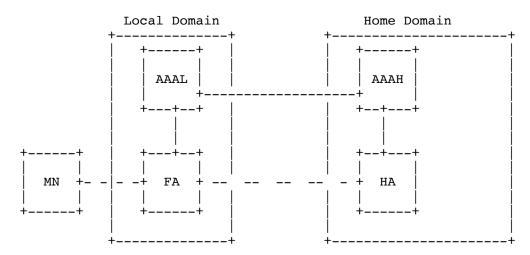


Figure 3: AAA Servers with Mobile IP agents

In the model in figure 1, the initial AAA transactions are handled without needing the home agent, but Mobile IP requires every registration to be handled between the home agent (HA) and the foreign agent (FA), as shown by the sparse dashed (lower) line in figure 3. This means that during the initial registration, something has to happen that enables the home agent and foreign agent to perform subsequent Mobile IP registrations. After the initial registration, the AAAH and AAAL in figure 3 would not be needed, and subsequent Mobile IP registrations would only follow the lower control path between the foreign agent and the home agent.

Any Mobile IP data that is sent by FA through the AAAL to AAAH MUST be considered opaque to the AAA servers. Authorization data needed by the AAA servers then MUST be delivered to them by the foreign

agent from the data supplied by the mobile node. The foreign agent becomes a translation agent between the Mobile IP registration protocol and AAA.

As mentioned in section 3, nodes in two separate administrative domains often must take additional steps to guarantee their security and privacy,, as well as the security and privacy of the data they are exchanging. In today's Internet, such security measures may be provided by using several different algorithms. Some algorithms rely on the existence of a public-key infrastructure [8]; others rely on distribution of symmetric keys to the communicating nodes [9]. AAA servers SHOULD be able to verify credentials using either style in their interactions with Mobile IP entities.

In order to enable subsequent registrations, the AAA servers MUST be able to perform some key distribution during the initial Mobile IP registration process from any particular administrative domain.

This key distribution MUST be able to provide the following security functions:

- identify or create a security association between MN and home agent (HA); this is required for the MN to produce the [re]authentication data for the MN--HA authentication extension, which is mandatory on Mobile IP registrations.
- identify or create a security association between mobile node and foreign agent, for use with subsequent registrations at the same foreign agent, so that the foreign agent can continue to obtain assurance that the same mobile node has requested the continued authorization for Mobile IP services.
- identify or create a security association between home agent and foreign agent, for use with subsequent registrations at the same foreign agent, so that the foreign agent can continue to obtain assurance that the same home agent has continued the authorization for Mobile IP services for the mobile node.
- participate in the distribution of the security association (and Security Parameter Index, or SPI) to the Mobile IP entities
- The AAA server MUST also be able to validate certificates provided by the mobile node and provide reliable indication to the foreign agent.
- The AAAL SHOULD accept an indication from the foreign agent about the acceptable lifetime for its security associations with the mobile node and/or the mobile node's home agent. This lifetime for those security associations SHOULD be an integer multiple of registration lifetime offered by the foreign agent to the mobile node. This MAY allow for Mobile IP reauthentication to take place

without the need for reauthentication to take place on the AAA level, thereby shortenning the time required for mobile node reregistration.

- The AAA servers SHOULD be able to condition their acceptance of a Mobile IP registration authorization depending upon whether the registration requires broadcast or multicast service to the mobile node tunneled through the foreign agent.
- In addition, reverse tunneling may also be a necessary requirement for mobile node connectivity. Therefore, AAA servers SHOULD also be able to condition their acceptance of Mobile IP registration authorization depending upon whether the registration requires reverse tunnelling support to the home domain through the foreign agent.

The lifetime of any security associations distributed by the AAA server for use with Mobile IP SHOULD be great enough to avoid toofrequent initiation of the AAA key distribution, since each invocation of this process is likely to cause lengthy delays between [re]registrations [5]. Registration delays in Mobile IP cause dropped packets and noticeable disruptions in service. Note that any key distributed by AAAH to the foreign agent and home agent MAY be used to initiate Internet Key Exchange (IKE) [7].

Note further that the mobile node and home agent may well have a security association established that does not depend upon any action by the AAAH.

# 5.1. Mobile IP with Dynamic IP Addresses

According to section 4, many people would like their mobile nodes to be identified by their NAI, and to obtain a dynamically allocated home address for use in the foreign domain. These people may often be unconcerned with details about how their computers implement Mobile IP, and indeed may not have any knowledge of their home agent or any security association except that between themselves and the AAAH (see figure 2). In this case the Mobile IP registration data has to be carried along with the AAA messages. The AAA home domain and the HA home domain have to be part of the same administrative domain.

Mobile IP requires the home address assigned to the mobile node belong to the same subnet as the Home Agent providing service to the mobile node. For effective use of IP home addresses, the home AAA (AAAH) SHOULD be able to select a home agent for use with the newly allocated home address. In many cases, the mobile node will already know the address of its home agent, even if the mobile node does not already have an existing home address. Therefore, the home AAA (AAAH) MUST be able to coordinate the allocation of a home address

with a home agent that might be designated by the mobile node.

Allocating a home address and a home agent for the mobile would provide a further simplification in the configuration needs for the client's mobile node. Currently, in the Proposed Standard Mobile IP specification [13] a mobile node has to be configured with a home address and the address of a home agent, as well as with a security association with that home agent. In contrast, the proposed AAA features would only require the mobile node to be configured with its NAI and a secure shared secret for use by the AAAH. The mobile node's home address, the address of its home agent, the security association between the mobile node and the home agent, and even the identity (DNS name or IP address) of the AAAH can all be dynamically determined as part of Mobile IP initial registration with the mobility agent in the foreign domain (i.e., a foreign agent with AAA interface features). Nevertheless, the mobile node may choose to include the MN-HA security extension as well as AAA credentials, and the proposed Mobile IP and AAA server model MUST work when both are present.

The reason for all this simplification is that the NAI encodes the client's identity as well as the name of the client's home domain; this follows existing industry practice for the way NAIs are used today (see section 4). The home domain name is then available for use by the local AAA (AAAL) to locate the home AAA serving the client's home domain. In the general model, the AAAL would also have to identify the appropriate security association for use with that AAAH. Section 6 discusses a way to reduce the number of security associations that have to be maintained between pairs of AAA servers such as the AAAL and AAAH just described.

# 5.2. Firewalls and AAA

Mobile IP has encountered some deployment difficulties related to firewall traversal; see for instance [11]. Since the firewall and AAA server can be part of the same administrative domain, we propose that the AAA server SHOULD be able to issue control messages and keys to the firewall at the boundary of its administrative domain that will configure the firewall to be permeable to Mobile IP registration and data traffic from the mobile node.

# 5.3. Mobile IP with Local Home Agents

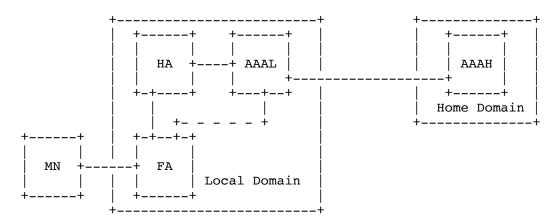


Figure 4: Home Agent Allocated by AAAL

In some Mobile IP models, mobile nodes boot on subnets which are technically foreign subnets, but the services they need are local, and hence communication with the home subnet as if they were residing on the home is not necessary. As long as the mobile node can get an address routable from within the current domain (be it publicly, or privately addressed) it can use mobile IP to roam around that domain, calling the subnet on which it booted its temporary home. This address is likely to be dynamically allocated upon request by the mobile node.

In such situations, when the client is willing to use a dynamically allocated IP address and does not have any preference for the location of the home network (either geographical or topological), the local AAA server (AAAL) may be able to offer this additional allocation service to the client. Then, the home agent will be located in the local domain, which is likely to be offer smaller delays for new Mobile IP registrations.

In figure 4, AAAL has received a request from the mobile node to allocate a home agent in the local domain. The new home agent receives keys from AAAL to enable future Mobile IP registrations. From the picture, it is evident that such a configuration avoids problems with firewall protection at the domain boundaries, such as were described briefly in section 5.2. On the other hand, this configuration makes it difficult for the mobile node to receive data from any communications partners in the mobile node's home administrative domain. Note that, in this model, the mobile node's home address is affiliated with the foreign domain for routing purposes. Thus, any dynamic update to DNS, to associate the mobile

node's home FQDN (Fully Qualified Domain Name [10]) with its new IP address, will require insertion of a foreign IP address into the home DNS server database.

# 5.4. Mobile IP with Local Payments

Since the AAAL is expected to be enabled to allocate a local home agent upon demand, we can make a further simplification. In cases where the AAAL can manage any necessary authorization function locally (e.g., if the client pays with cash or a credit card), then there is no need for an AAA protocol or infrastructure to interact with the AAAH. The resulting simple configuration is illustrated in figure 5.

In this simplified model, we may consider that the role of the AAAH is taken over either by a national government (in the case of a cash payment), or by a card authorization service if payment is by credit card, or some such authority acceptable to all parties. Then, the AAAL expects those external authorities to guarantee the value represented by the client's payment credentials (cash or credit). There are likely to be other cases where clients are granted access to local resources, or access to the Internet, without any charges at all. Such configurations may be found in airports and other common

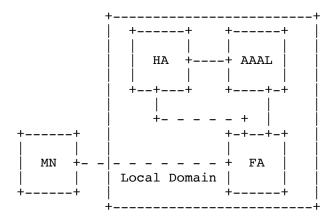


Figure 5: Local Payment for Local Mobile IP services

areas where business clients are likely to spend time. The service provider may find sufficient reward in the goodwill of the clients, or from advertisements displayed on Internet portals that are to be used by the clients. In such situations, the AAAL SHOULD still allocate a home agent, appropriate keys, and the mobile node's home address.

#### 5.5. Fast Handover

Since the movement from coverage area to coverage area may be frequent in Mobile IP networks, it is imperative that the latency involved in the handoff process be minimized. See, for instance, the Route Optimization document [15] for one way to do this using Binding Updates. When the mobile node enters a new visited subnet, it would be desirable for it to provide the previous foreign agent's NAI. The new FA can use this information to either contact the previous FA to retrieve the KDC session key information, or it can attempt to retrieve the keys from the AAAL. If the AAAL cannot provide the necessary keying information, the request will have to be sent to the mobile node's AAAH to retrieve new keying information. After initial authorization, further authorizations SHOULD be done locally within the Local Domain.

When a MN moves into a new foreign subnet as a result of a handover and is now served by a different FA, the AAAL in this domain may contact the AAAL in the domain that the MN has just been handed off from to verify the authenticity of the MN and/or to obtain the session keys. The new serving AAAL may determine the address of the AAAL in the previously visited domain from the previous FA NAI information supplied by the MN.

#### 6. Broker Model

The picture in Figure 1 shows a configuration in which the local and the home authority have to share trust. Depending on the security model used, this configuration can cause a quadratic growth in the number of trust relationships, as the number of AAA authorities (AAAL and AAAH) increases. This has been identified as a problem by the roamops working group [3], and any AAA proposal MUST solve this problem. Using brokers solves many of the scalability problems associated with requiring direct business/roaming relationships between every two administrative domains. In order to provide scalable networks in highly diverse service provider networks in which there are many domains (e.g., many service providers and large numbers of private networks), multiple layers of brokers MUST be supported for both of the broker models described.

Integrity or privacy of information between the home and serving domains may be achieved by either hop-by-hop security associations or end-to-end security associations established with the help of the broker infrastructure. A broker may play the role of a proxy between two administrative domains which have security associations with the broker, and relay AAA messages back and forth securely.

Alternatively, a broker may also enable the two domains with which it has associations, but the domains themselves do not have a direct association, in establishing a security association, thereby bypassing the broker for carrying the messages between the domains. This may be established by virtue of having the broker relay a shared secret key to both the domains that are trying to establish secure communication and then have the domains use the keys supplied by the broker in setting up a security association.

Assuming that AAAB accepts responsibility for payment to the serving domain on behalf of the home domain, the serving domain is assured of receiving payments for services offered. However, the redirection broker will usually require a copy of authorization messages from the home domain and accounting messages from the serving domain, in order for the broker to determine if it is willing to accept responsibility for the services being authorized and utilized. If the broker does not accept such responsibility for any reason, then it must be able to terminate service to a mobile node in the serving network. In the event that multiple brokers are involved, in most situations all brokers must be so copied. This may represent an additional burden on foreign agents and AAALs.

Though this mechanism may reduce latency in the transit of messages between the domains after the broker has completed its involvement, there may be many more messages involved as a result of additional copies of authorization and accounting messages to the brokers involved. There may also be additional latency for initial access to the network, especially when a new security association needs to be created between AAAL and AAAH (for example, from the use of ISAKMP). These delays may become important factors for latency-critical applications.

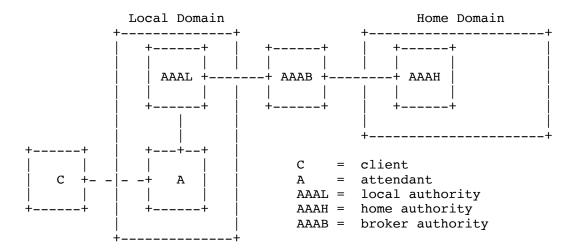


Figure 6: AAA Servers Using a Broker

The AAAB in figure 6 is the broker's authority server. The broker acts as a settlement agent, providing security and a central point of contact for many service providers and enterprises.

The AAAB enables the local and home domains to cooperate without requiring each of the networks to have a direct business or security relationship with all the other networks. Thus, brokers offer the needed scalability for managing trust relationships between otherwise independent network domains. Use of the broker does not preclude managing separate trust relationships between domains, but it does offer an alternative to doing so. Just as with the AAAH and AAAL (see section 5), data specific to Mobile IP control messages MUST NOT be processed by the AAAB. Any credentials or accounting data to be processed by the AAAB must be present in AAA message units, not extracted from Mobile IP protocol extensions.

The following requirements come mostly from [2], which discusses use of brokers in the particular case of authorization for roaming dialup users.

- allowing management of trust with external domains by way of brokered AAA.
- accounting reliability. Accounting data that traverses the Internet may suffer substantial packet loss. Since accounting packets may traverse one or more intermediate authorization points (e.g., brokers), retransmission is needed from intermediate points to avoid long end-to-end delays.

- End to End security. The Local Domain and Home Domain must be able to verify signatures within the message, even though the message is passed through an intermediate authority server.
- Since the AAAH in the home domain MAY be sending sensitive information, such as registration keys, the broker MUST be able to pass encrypted data between the AAA servers.

The need for End-to-End security results from the following attacks which were identified when brokered operation uses RADIUS [16] (see [2] for more information on the individual attacks):

- + Message editing
- + Attribute editing
- + Theft of shared secrets
- + Theft and modification of accounting data
- + Replay attacks
- + Connection hijacking
- + Fraudulent accounting

These are serious problems which cannot be allowed to persist in any acceptable AAA protocol and infrastructure.

# 7. Security Considerations

This is a requirements document for AAA based on Mobile IP. Because AAA is security driven, most of this document addresses the security considerations AAA MUST make on behalf of Mobile IP. As with any security proposal, adding more entities that interact using security protocols creates new administrative requirements for maintaining the appropriate security associations between the entities. In the case of the AAA services proposed however, these administrative requirements are natural, and already well understood in today's Internet because of experience with dial up network access.

# 8. IPv6 Considerations

The main difference between Mobile IP for IPv4 and Mobile IPv6 is that in IPv6 there is no foreign agent. The attendant function, therefore, has to be located elsewhere. Logical repositories for that function are either at the local router, for stateless address autoconfiguration, or else at the nearest DHCPv6 server, for stateful address autoconfiguration. In the latter case, it is possible that there would be a close relationship between the DHCPv6 server and the AAALv6, but we believe that the protocol functions should still be maintained separately.

The MN-NAI would be equally useful for identifying the mobile node to the AAALv6 as is described in earlier sections of this document.

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