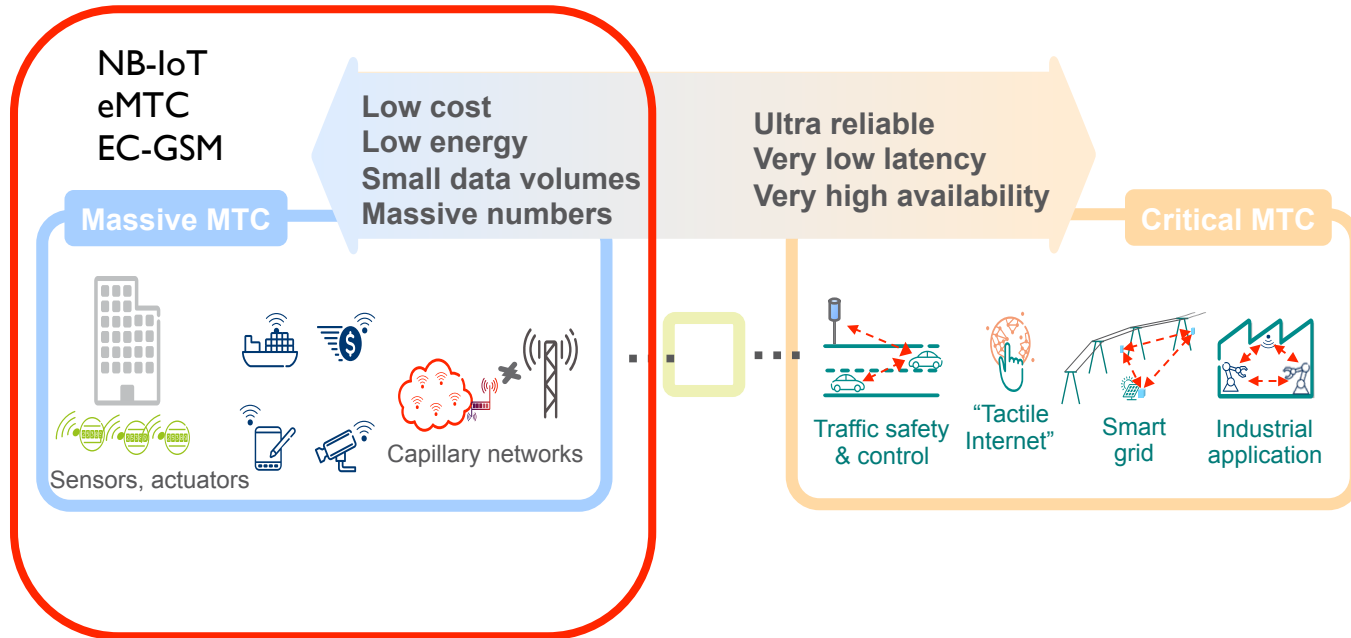


NB-IoT presentation for IETF LPWAN

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NB-IoT targeted use cases



NB-IoT Design targets

- NB-IoT targets the low-end “Massive MTC” scenario:

Low device cost/complexity: <\$5 per module

Extended coverage: 164 dB MCL, 20 dB better compared to GPRS

Long battery life: >10 years

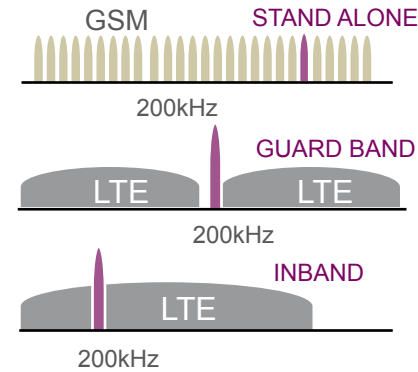
Capacity: 40 devices per household,
~55k devices per cell

Uplink report latency : <10 seconds

Basic Technical Characteristics

NB-IoT

- Targeting implementation in an existing 3GPP network
- Applicable in any 3GPP defined (licensed) frequency band – standardization in release 13
- Three deployment modes
- Processing along with wideband LTE carriers implying OFDM secured orthogonality and common resource utilization
- Maximum user rates 30/60 (DL/UL) kbps



The capacity of NB-IoT carrier is shared by all devices
Capacity is scalable by adding additional NB-IoT carriers

NB-IoT overview

- › M2M access technology contained in 200 kHz with 3 deployments modes:
 - **Stand-alone** operation
 - Operation in LTE “guard band”
 - Operation within wider LTE carrier (aka **inband**)
- › L1:
 - FDD only & half-duplex User Equipment (UE)
 - Narrow band physical downlink channels over 180 kHz (1 PRB)
 - Preamble based Random Access on 3.75 kHz
 - Narrow band physical uplink channel on single-tone (15 kHz or 3.75 kHz) or multi-tone ($n \cdot 15$ kHz, $n = [3, 6, 12]$)
 - Maximum transport block size (TBS) 680 bits in downlink, 1000 bits in uplink
- › L2, L3:
 - Single-process, adaptive and asynchronous HARQ for both UL and DL
 - Data over Non Access Stratum, or data over user plane with RRC Suspend/Resume
 - MTU size 1500 bytes
 - Extended Idle mode DRX with up to 3 h cycle, Connected mode DRX with up to 9.216 s cycle
 - Multi Physical Resource Block (PRB)/Carrier support

NETWORK DEPLOYMENT

- Maximum coupling loss 164 dB which has been reached with assumptions given in the table below, which shows the link budget for uplink
 - Urban: deep in-building penetration
 - Rural: long range (10-15 km)

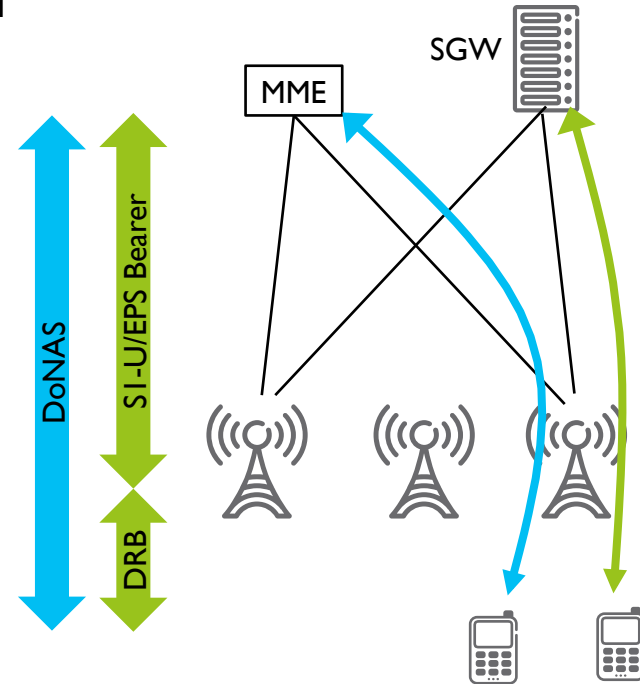
| Numerology | 15 kHz | 3.75 kHz |
|--|--------|----------|
| (1) Transmit power (dBm) | 23.0 | 23.0 |
| (2) Thermal noise density (dBm/Hz) | -174 | -174 |
| (3) Receiver noise figure (dB) | 3 | 3 |
| (4) Occupied channel bandwidth (Hz) | 15000 | 3750 |
| (5) Effective noise power = (2) + (3) + 10*log ((4)) (dBm) | -129.2 | -135.3 |
| (6) Required SINR (dB) | -11.8 | -5.7 |
| (7) Receiver sensitivity = (5) + (6) (dBm) | -141.0 | -141.0 |
| (8) Max coupling loss = (1) - (7) (dB) | 164.0 | 164.0 |

Relevant LI characteristics

- Highest modulation scheme **QPSK**
- ISM bands vs licensed bands
 - NB-IoT currently specified on licensed bands only
 - Narrowband operation (180 kHz bandwidth)
 - in-band (LTE), guard band (LTE) or standalone operation mode (e.g. refarm the GSM carrier at 850/900 MHz)
 - Half Duplex FDD operation mode with 60 kbps peak rate in uplink and 30 kbps peak rate in downlink
- Maximum transmission block size 680 bits in DL, 1000 bits in UL (In Rel-13)
- Use repetitions for coverage enhancements, up to 2048 reps in DL, 128 reps in UL data channels
- > 10 year battery life time

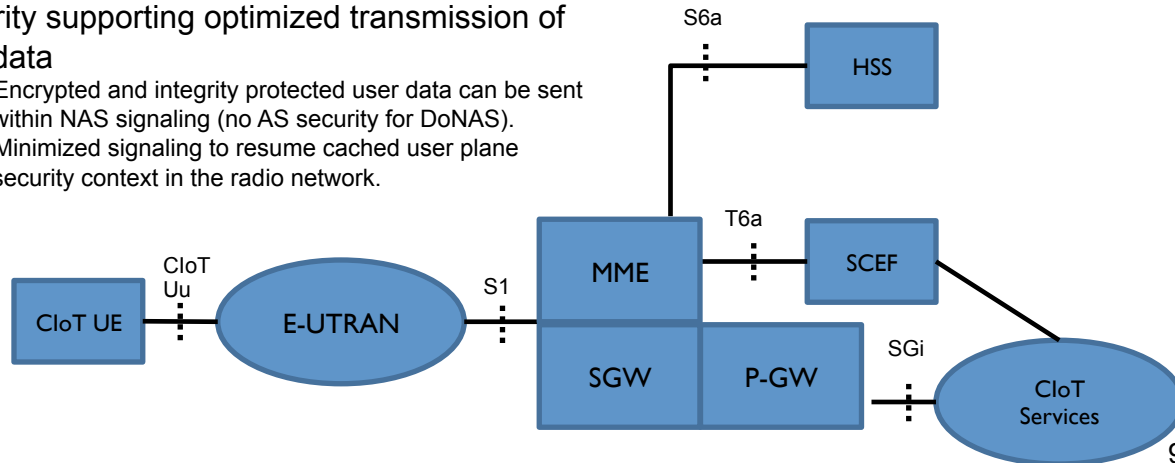
Relevant L2 characteristics

- Supported MTU size is 1500 bytes for both, NAS and AS solutions
- Error correction, concatenation, segmentation and reassembly in RLC Acknowledged Mode
 - Error correction through ARQ
 - Segmentation to segment the SDUs from PDCP into the transmission block sizes for physical layer
- Non-access stratum (NAS) and Access stratum (AS)
 - NAS is a set of protocols used to convey non-radio signaling between the UE and the core network, passing transparently through radio network. The responsibilities of NAS include authentication, security control, mobility management and bearer management
 - AS is the functional layer below NAS, working between the UE and radio network. It is responsible for transporting data over wireless connection and managing radio resources.
 - In NB-IoT, an optimization for data transfer over NAS (DoNAS) signaling is also supported,
 - Also AS optimization called RRC suspend/resume can be used to minimize the signaling needed to suspend/resume user plane connection.
 - Non-IP support, which enables the usage of other delivery protocols than IP as well
- L2 security
 - Authentication between UE and core network.
 - Encryption and integrity protection of both AS and NAS signaling.
 - Encryption of user plane data between the UE and radio network.
 - Key management mechanisms to effectively support mobility and UE connectivity mode changes.



NB-IoT system architecture

- Architecture is based on evolved Packet Core (EPC) used by LTE
- Cellular IoT User Equipment (CloT UE) is the mobile terminal
- evolved UMTS Terrestrial Radio Access Network (E-UTRAN) handles the radio communications between the UE and the EPC, and consists of the evolved base stations called eNodeB or eNB
- NB-IoT security properties
 - Authentication and core network signaling security as in normal LTE
 - Security supporting optimized transmission of user data
 - Encrypted and integrity protected user data can be sent within NAS signaling (no AS security for DoNAS).
 - Minimized signaling to resume cached user plane security context in the radio network.



Summary for NB-IoT

| | NB-IoT |
|------------------------|---|
| Deployment | In-band & Guard-band LTE, standalone |
| Coverage (MCL) | 164 dB |
| Downlink | OFDMA, 15 KHz tone spacing, TBCC, 1 Rx |
| Uplink | Single tone: 15 KHz and 3.75 KHz spacing, SC-FDMA: 15 KHz tone spacing, Turbo code |
| Bandwidth | 180 KHz |
| Highest modulation | QPSK |
| Link peak rate (DL/UL) | DL: ~30 kbps UL: ~60 kbps |
| Duplexing | HD FDD |
| MTU size | 1500 B |
| TBS | Max. transmission block size 680 bits in DL, 1000 bits in UL, min. 16 bits |
| Repetitions | Up to 2048 repetitions in DL and 128 repetitions in UL data channels |
| Power saving | PSM, extended Idle mode DRX with up to 3 h cycle, Connected mode DRX with up to 10.24 s cycle |
| UE Power class | 23 dBm or 20 dBm |

UE categories for massive MTC

| | Rel-8 Cat-4 | Rel-8 Cat-1 | Rel-12 Cat-0 | Rel-13 Cat-M1 | Rel-13 NB-IOT |
|---------------------------------|----------------|----------------|-----------------------|-----------------------|------------------|
| Supported duplex modes | FD-FDD / TDD | FD-FDD / TDD | HD-FDD / FD-FDD / TDD | HD-FDD / FD-FDD / TDD | HD-FDD |
| DL link peak rate [Mbps] | 150 | 10 | 0.375 / 1 | 0.3 / 0.8 | ~0.03* |
| UL link peak rate [Mbps] | 50 | 5 | 0.375 / 1 | 0.375 / 1 | ~0.06** |
| Highest DL modulation scheme | 64QAM | 64QAM | 64QAM | 16QAM | QPSK |
| Highest UL modulation scheme | 16QAM | 16QAM | 16QAM | 16QAM | QPSK |
| Max number of DL spatial layers | 2 | 1 | 1 | 1 | 1 |
| Number of receive antennas | 2 | 2 | 1 | 1 | 1 |
| UE bandwidth [MHz] | 20 | 20 | 20 | 1.080 | 0.180 |
| Maximum transmit power [dBm] | 23 | 23 | 23 | 20 or 23 | 20 or 23 |

WORK IN PROGRESS, TO BE DONE

- Further enhancements for NB-IoT (and eMTC) are being worked on for next 3GPP Release.
- These enhancements include the following topics
 - Positioning
 - Multicast
 - Support multi-cast downlink transmission (e.g. firmware or software updates, group message delivery) for NB-IoT
 - Non-Anchor PRB enhancements
 - Mobility and service continuity enhancements
 - New Power Class(es)
 - Evaluate and, if appropriate, specify new UE power class(es) (e.g. 14dBm), and any necessary signaling support, to support lower maximum transmit power suitable for small form-factor batteries, with appropriate MCL relaxations compared to Rel-13